

# GEMS OF TCS

## GÖDEL'S INCOMPLETENESS

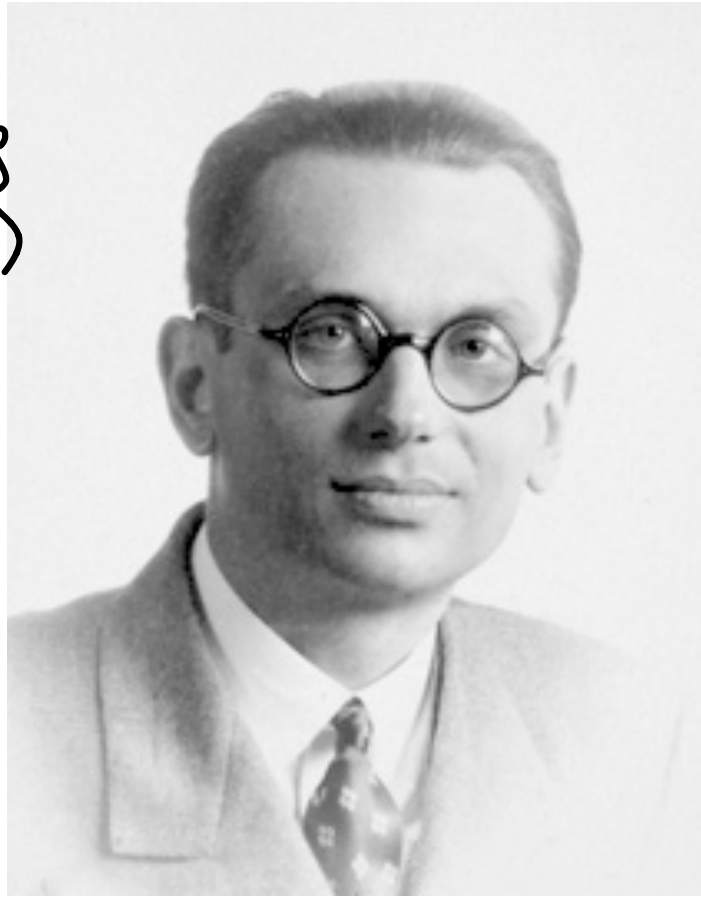
---

Sasha Golovnev

March 11, 2021

# GODEL'S INCOMPLETENESS THEOREM

1931 Gödel  
1936 Turing  
(Lecture 13)



$$x = y \ \&$$

$$y = z$$

∴

$$x = z$$

# AXIOMATIZATION OF MATH

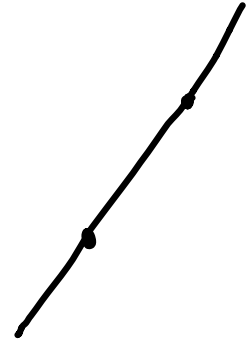
- Find a set of simple and obvious axioms

# AXIOMATIZATION OF MATH

- Find a set of simple and obvious axioms
- Any proof could be (in principle) traced back to this set of axioms

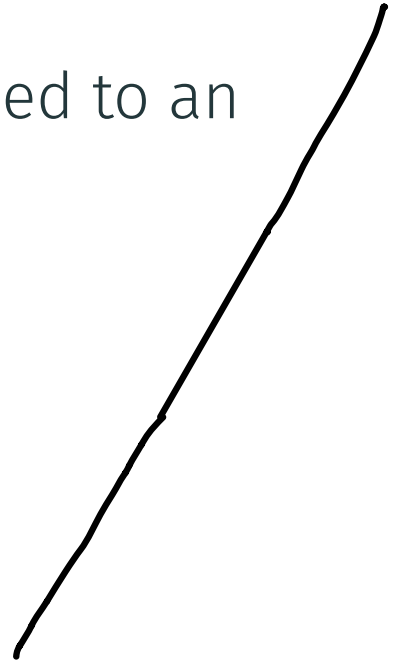
# EUCLID'S AXIOMS

- For any pair of distinct points, there is exactly one line connecting them



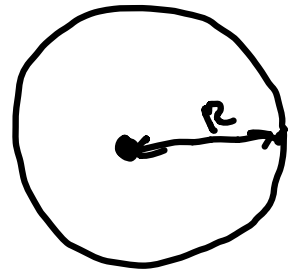
# EUCLID'S AXIOMS

- For any pair of distinct points, there is exactly one line connecting them
- Any line segment can be extended to an infinite line



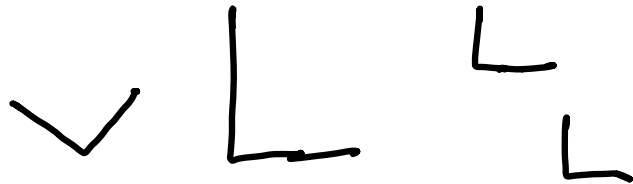
# EUCLID'S AXIOMS

- For any pair of distinct points, there is exactly one line connecting them
- Any line segment can be extended to an infinite line
- For any pair of distinct points, there is exactly one circle centered at the first and touching the second



# EUCLID'S AXIOMS

- For any pair of distinct points, there is exactly one line connecting them
- Any line segment can be extended to an infinite line
- For any pair of distinct points, there is exactly one circle centered at the first and touching the second
- All right angles are equal to one another





# EUCLID'S AXIOMS

- For any pair of distinct points, there is exactly one line connecting them
- Any line segment can be extended to an infinite line
- For any pair of distinct points, there is exactly one circle centered at the first and touching the second
- All right angles are equal to one another
- [The Parallel Postulate] Given a line  $L$  and a point  $x$ , there is exactly one line parallel to  $L$  that passes through  $x$



# EUCLID'S AXIOMS

- For any pair of distinct points, there is exactly one line connecting them
- Any line segment can be extended to an infinite line
- For any pair of distinct points, there is exactly one circle centered at the first and touching the second
- All right angles are equal to one another
- [The Parallel Postulate] Given a line  $L$  and a point  $x$ , there is exactly one line parallel to  $L$  that passes through  $x$



# PEANO ARITHMETIC

- 0 is a natural number

# PEANO ARITHMETIC

- 0 is a natural number
- $\forall x, x = x$
- If  $x = y$ , then  $y = x$
- If  $x = y$  and  $y = z$ , then  $x = z$
- ...

# PEANO ARITHMETIC

- 0 is a natural number
- $\forall x, x = x$
- If  $x = y$ , then  $y = x$
- If  $x = y$  and  $y = z$ , then  $x = z$
- ...
- $\forall x, y, \underline{x = y} \text{ iff } \underline{\text{Next}(x) = \text{Next}(y)}$
- If  $x$  is a natural number, then Next(x) is a natural number
- *There is no natural  $x$  s.t.  $\text{Next}(x) = 0$*

$$\text{Next}(x) = x + 1$$

# PEANO ARITHMETIC

- 0 is a natural number
- $\forall x, x = x$
- If  $x = y$ , then  $y = x$
- If  $x = y$  and  $y = z$ , then  $x = z$
- ...
- $\forall x, y, x = y$  iff  $\text{Next}(x) = \text{Next}(y)$
- If  $x$  is a natural number, then  $\text{Next}(x)$  is a natural number
- ...
- $\forall x, y, x + \text{Next}(y) = \text{Next}(x + y)$

# PEANO ARITHMETIC

- 0 is a natural number
- $\forall x, x = x$
- If  $x = y$ , then  $y = x$
- If  $x = y$  and  $y = z$ , then  $x = z$
- ...
- $\forall x, y, x = y$  iff  $\text{Next}(x) = \text{Next}(y)$
- If  $x$  is a natural number, then  $\text{Next}(x)$  is a natural number
- ...
- $\forall x, y, x + \text{Next}(y) = \text{Next}(x + y)$
- $\forall x, y, x \cdot \text{Next}(y) = x \cdot y + x$

# PEANO ARITHMETIC

- 0 is a natural number
- $\forall x, x = x$
- If  $x = y$ , then  $y = x$
- If  $x = y$  and  $y = z$ , then  $x = z$
- ...
- $\forall x, y, x = y$  iff  $\text{Next}(x) = \text{Next}(y)$
- If  $x$  is a natural number, then  $\text{Next}(x)$  is a natural number
- ...
- $\forall x, y, x + \text{Next}(y) = \text{Next}(x + y)$
- $\forall x, y, x \cdot \text{Next}(y) = x \cdot y + x$
- Induction



# NAIVE SET THEORY

Cantor, Dedekind, ---

- Set
- Membership in a Set
- Empty Set  $\emptyset$
- Equality  $A = B$  iff they contain same elements

# RUSSELL'S PARADOX

$S$  = set of all sets that don't  
contain themselves as an element

Case 1:  $S$  contains itself as an element  
 $\Rightarrow S$  cannot contain  $S$  as an element

Case 2:  $S$  doesn't contain itself as an element  
 $\Rightarrow S$  must contain  $S$  as an element.

$T = "S \text{ contains itself}"$   
 $\neg T = "S \text{ doesn't contain itself}"$

We can prove  $T$  and  $\neg T$ .

$T$  is true  $\Rightarrow$

~~$T$~~  OR "I'm the Pope" is true

$\neg T$  is true

"I'm the Pope"

# RUSSELL'S PARADOX

$S$  = contains all sets that don't contain themselves as an element

The barber is the "one who shaves all those, and those only, who do not shave themselves".  
The question is, does the barber shave himself?

# PRINCIPIA MATHEMATICA

Bertrand & Whitehead

objects can't contain objects of the same type

Took 379 pages to prove  $1+1=2$

---

Proof assistants (Coq, Isabelle, ...)

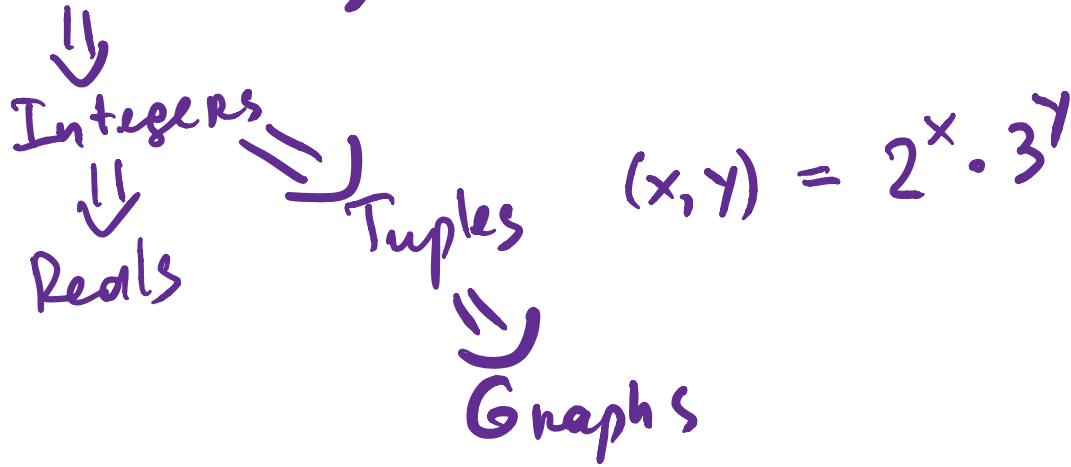
1. Verify formal proofs
2. Help us to prove theorems

ZFC

Gold Standard

Zermelo & Fraenkel

Set Theory



# GODEL'S INCOMPLETENESS THEOREM

Any attempt to axiomatize all of mathematics is guaranteed to fail

# HALTING PROBLEM

- Function HALT is defined as follows.



# HALTING PROBLEM

- Function HALT is defined as follows.
  - The first input is algorithm  $A$

# HALTING PROBLEM

- Function HALT is defined as follows.
  - The first input is algorithm  $A$
  - The second input is string  $x$

# HALTING PROBLEM

- Function HALT is defined as follows.
  - The first input is algorithm  $A$
  - The second input is string  $x$
  - $\text{HALT}(A, x) = 1$  if  $A$  halts on input  $x$

# HALTING PROBLEM

- Function HALT is defined as follows.
  - The first input is algorithm  $A$
  - The second input is string  $x$
  - $\text{HALT}(A, x) = 1$  if  $A$  halts on input  $x$
  - $\text{HALT}(A, x) = 0$  if  $A$  enters infinite loop on input  $x$

# HALTING PROBLEM

- Function HALT is defined as follows.
  - The first input is algorithm  $A$
  - The second input is string  $x$
  - $\text{HALT}(A, x) = 1$  if  $A$  halts on input  $x$
  - $\text{HALT}(A, x) = 0$  if  $A$  enters infinite loop on input  $x$
- HALT is undecidable (Lecture 13)

# Gödel's Incompleteness Theorem

Any formal system is unsound OR incomplete.

sound  $\equiv$  proves only true statements

unsound  $\equiv$  proves some false statements

complete  $\equiv$  for every statement  $T$ , it proves  $T$  OR  $\neg T$

incomplete  $\equiv$  there is a statement  $T$  s.t. our system cannot prove  $T$  nor  $\neg T$ .

---

EQ: Any sound system must be incomplete.

Believe ZFC is sound.

## Alg. for Halting Problem $(A, x)$

Brute force all strings

For each string  $s$

- check  $s$  is a proof (in ZFC) that  $A(x)$  halts: **Output 1 Exit**

- check  $s$  is a proof (in ZFC) that  $A(x)$  runs forever: **Output 0 Exit**

---

Case I: we output 0 instead of 1  
or output 1 instead of 0

$A(x)$  doesn't halt, but we have  
a proof that  $A(x)$  halts

$\Rightarrow$  formal system is unsound

Case II: sometimes (for some  $A$  and  $x$ )

blue algorithm runs forever

$\Rightarrow$  formal system is incomplete

Two examples of statements that cannot be proved or disproved in ZF (ZFC):

- Axiom of Choice
- Continuum hypothesis

$$|\mathbb{N}| < ? < |\mathbb{R}|$$

---

Gödel's incompleteness theorem

ZFC

$T = \text{"ZFC doesn't prove this statement"}$   
(T)