

# GEMS OF TCS

## GRAPH COLORING ALGORITHMS

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February 18, 2021

# PREVIOUSLY...

- Exact Algorithms *Exponential alg*
- Randomized Algorithms
- Approximate Algorithms

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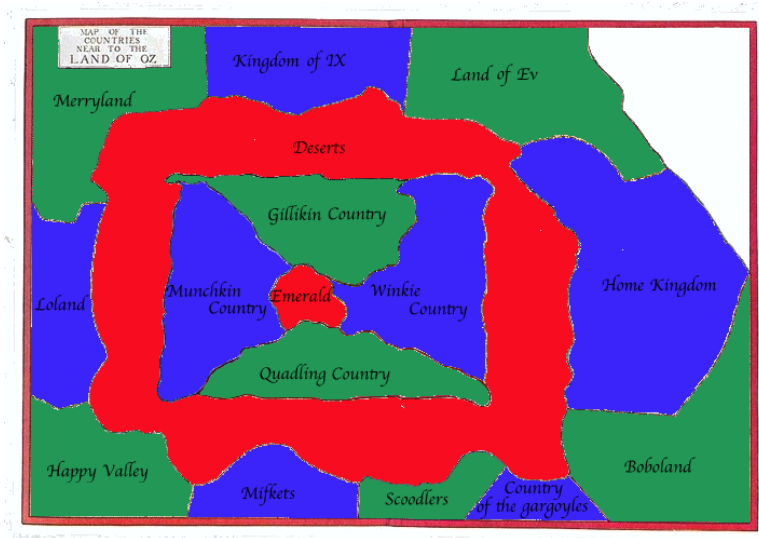
- Exact Algorithms
- Randomized Algorithms
- Approximate Algorithms
- **Today:** More examples

# Map Coloring

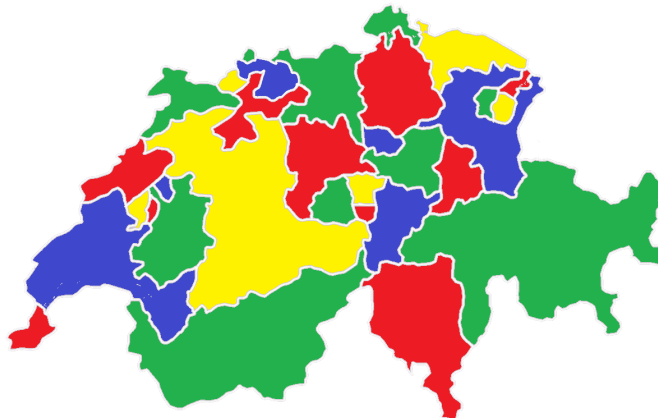
# SOUTH AMERICA



# THE LAND OF OZ



# SWISS CANTONS



# FOUR COLOR THEOREM

Theorem (Appel, Haken, 1976) ✖

*Every map can be colored with 4 colors.*



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# FOUR COLOR THEOREM

## Theorem (Appel, Haken, 1976)

*Every map can be colored with 4 colors.*

- Proved using a computer.
- Computer checked almost 2000 graphs.
- Robertson, Sanders, Seymour, and Thomas gave a much simpler proof in 1997 (still using a computer search).

## Theorem (Weak Version)

*Every map can be colored with 6 colors.*

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- **Base case.**  $n \leq 6$ : can color with 6 colors.

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## Theorem (Weak Version)

*Every map can be colored with 6 colors.*

- **Induction** on the number of countries  $n$ .
- **Base case.**  $n \leq 6$ : can color with 6 colors.
- **Induction assumption.** All maps with  $k$  countries can be colored with 6 colors.

# SIX COLOR THEOREM

## Theorem (Weak Version)

*Every map can be colored with 6 colors.*

- **Induction** on the number of countries  $n$ .
- **Base case.**  $n \leq 6$ : can color with 6 colors.
- **Induction assumption.** All maps with  $k$  countries can be colored with 6 colors.
- **Induction step.** We'll show that any map with  $k + 1$  countries can be colored with 6 colors.

# SIX COLOR THEOREM. PROOF

Lemma

*Euler's  $f_1$  for planar graphs*

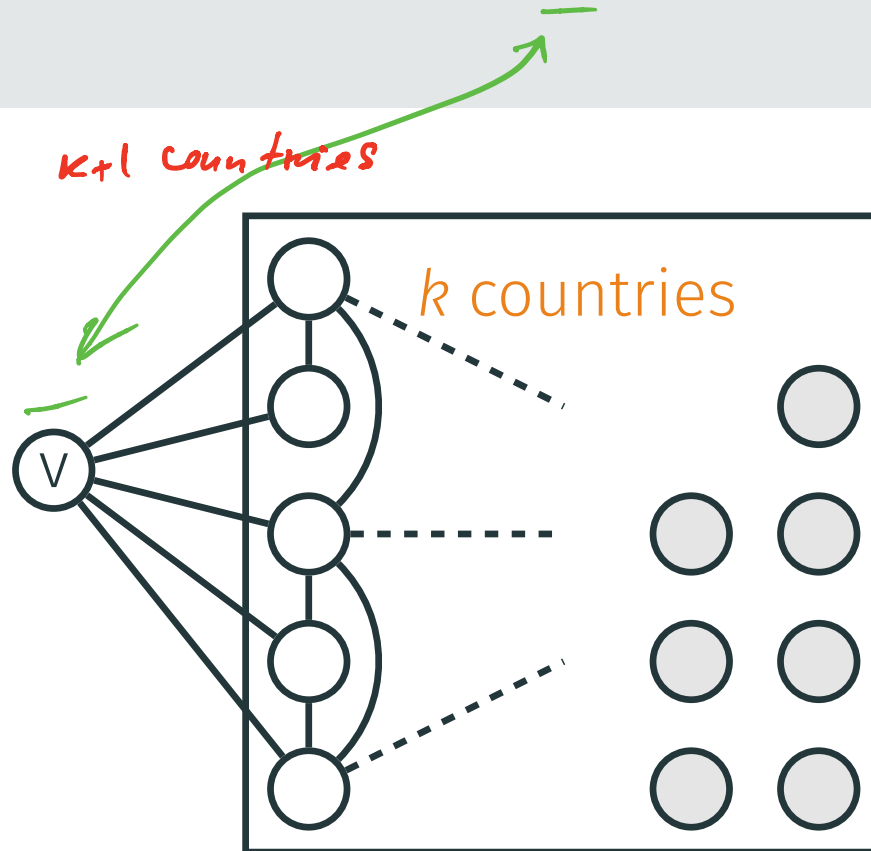
Every map contains a country  $v$  with at most 5 neighbors.



# SIX COLOR THEOREM. PROOF

## Lemma

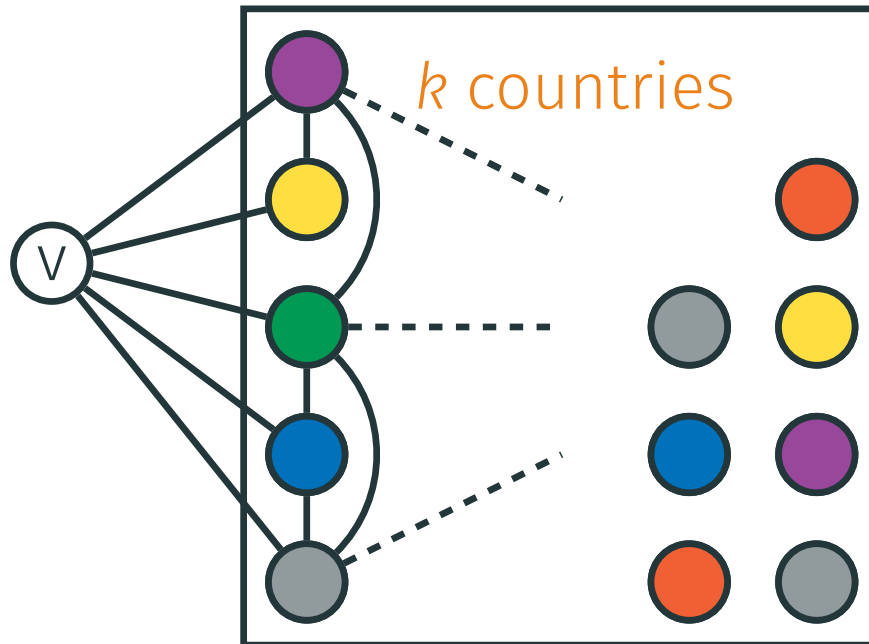
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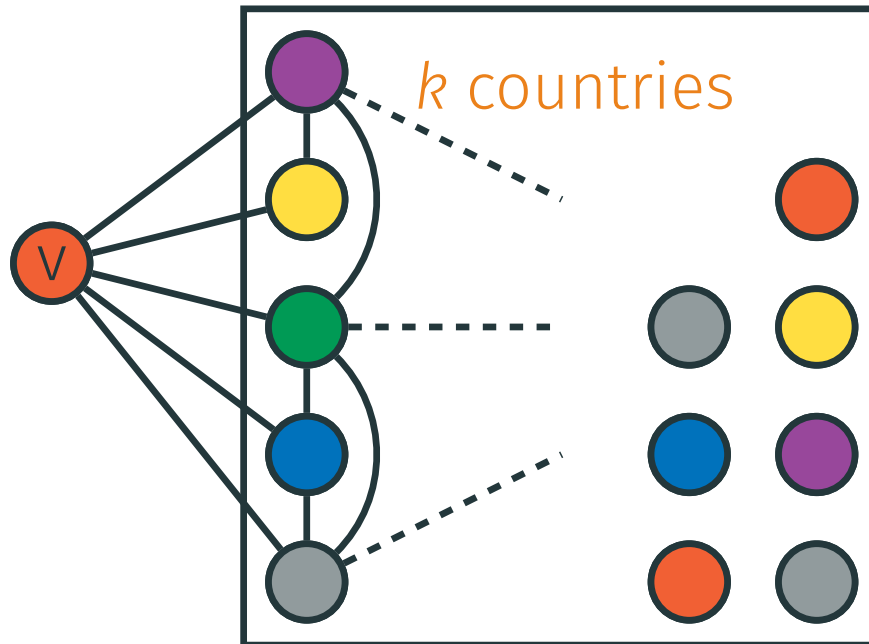
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# SIX COLOR THEOREM. PROOF

## Lemma

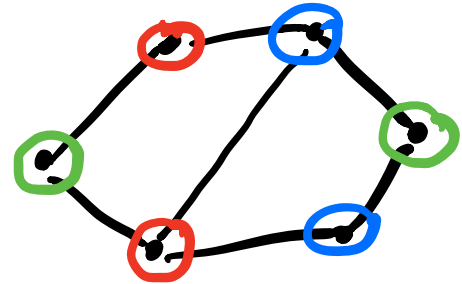
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# Graph Coloring

# GRAPH COLORING

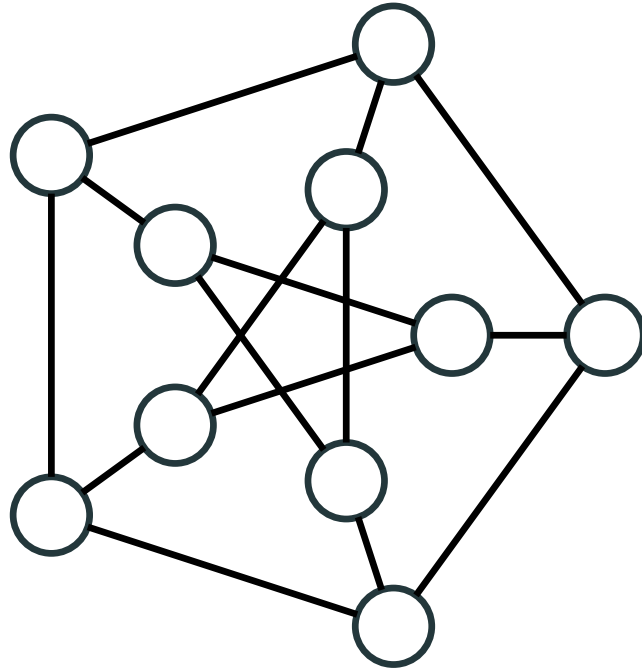
- A **graph coloring** is a coloring of the graph vertices s.t. no pair of adjacent vertices share the same color.



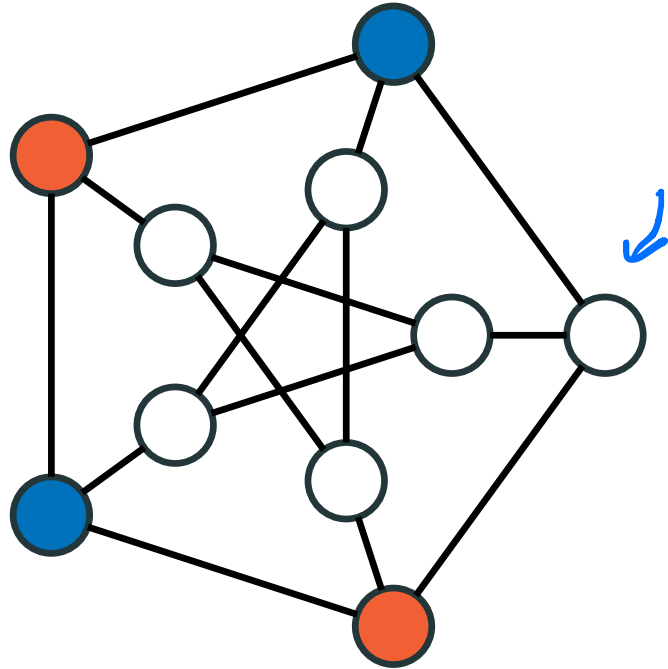
# GRAPH COLORING

- A **graph coloring** is a coloring of the graph vertices s.t. no pair of adjacent vertices share the same color.
- The **chromatic number**  $\chi(G)$  of a graph  $G$  is the smallest number of colors needed to color the graph.

# CHROMATIC NUMBER

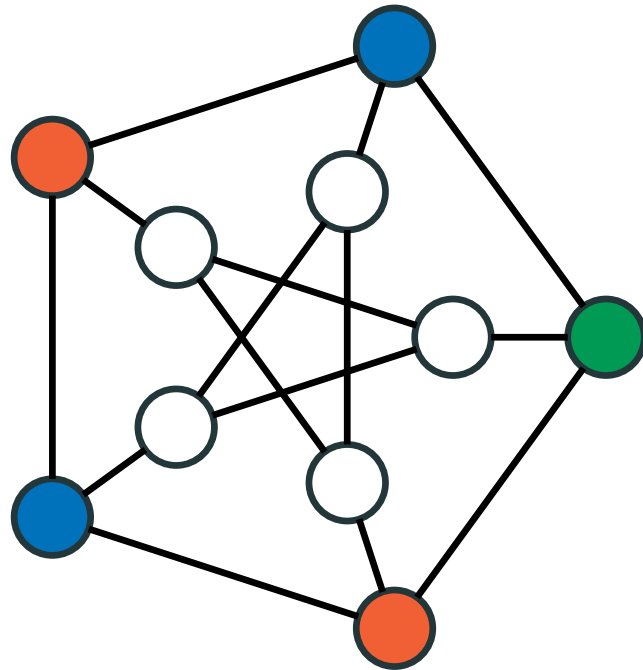


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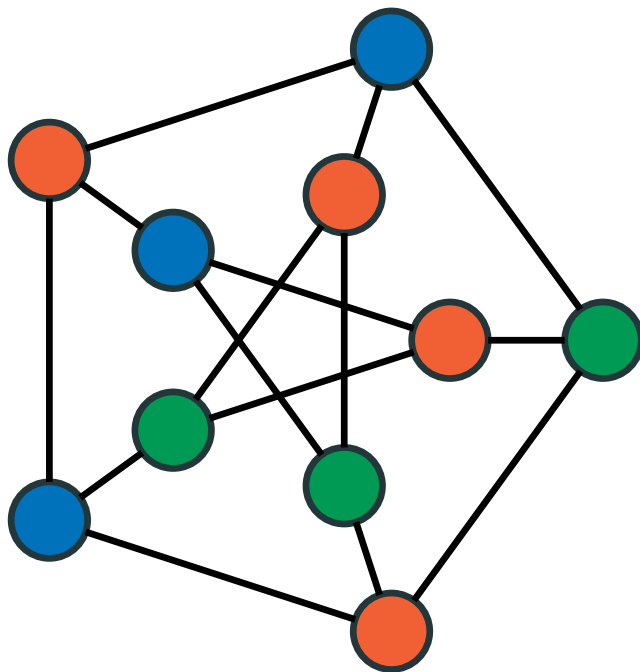




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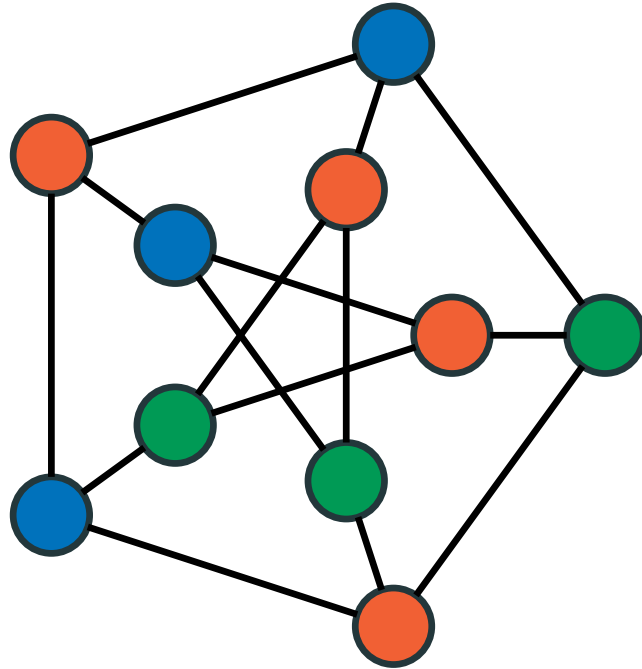
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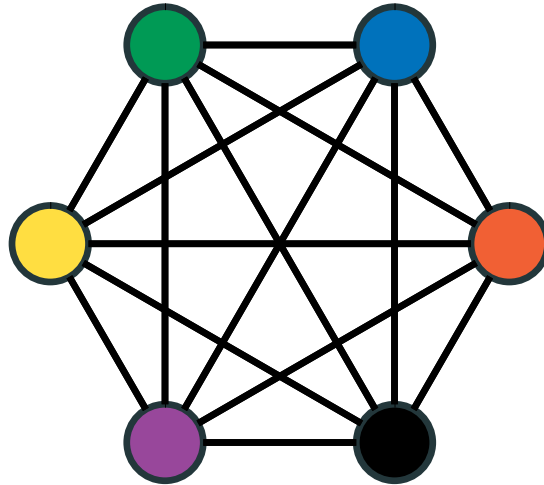
$$\chi(G) = 3$$

Chromatic  
number is 3



# COMPLETE GRAPHS

The chromatic number of  $K_n$  is  $n$ .



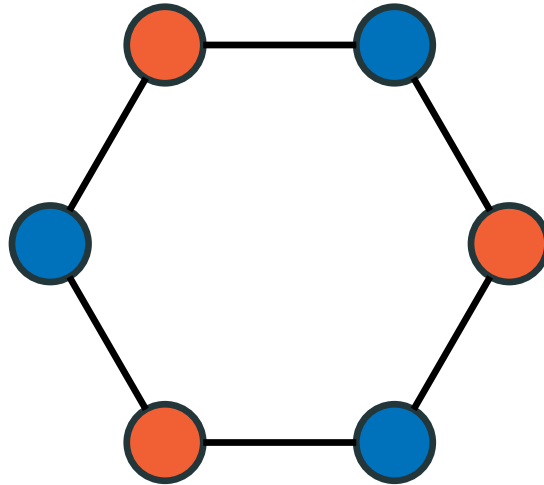
# PATH GRAPHS

For  $n > 1$ , the chromatic number of  $P_n$  is 2.



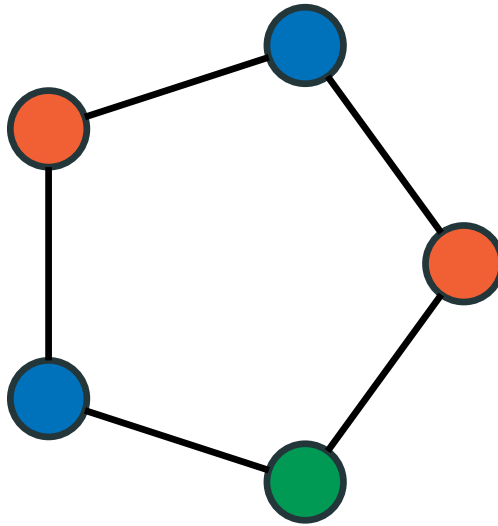
# CYCLE GRAPHS

For even  $n$ , the chromatic number of  $C_n$  is 2.



# CYCLE GRAPHS

For odd  $n > 2$ , the chromatic number of  $C_n$  is 3.

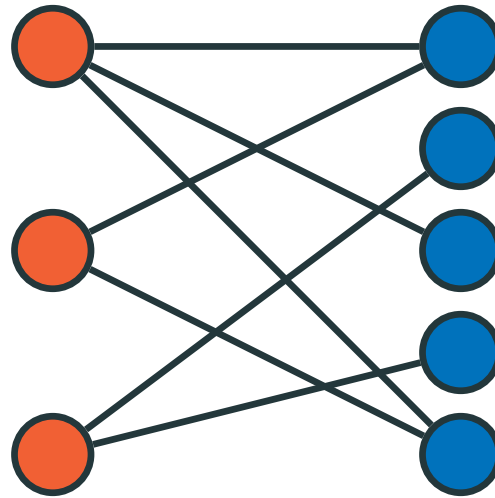


# BIPARTITE GRAPHS

- partition vertices into  $L$  and  $R$ , such that all

The chromatic number of a bipartite graph (with at least 1 edge) is 2.

edges connect  $L$  and  $R$



Fact: given a 2-colorable graph, it's easy (linear time) to color it in 2 colors



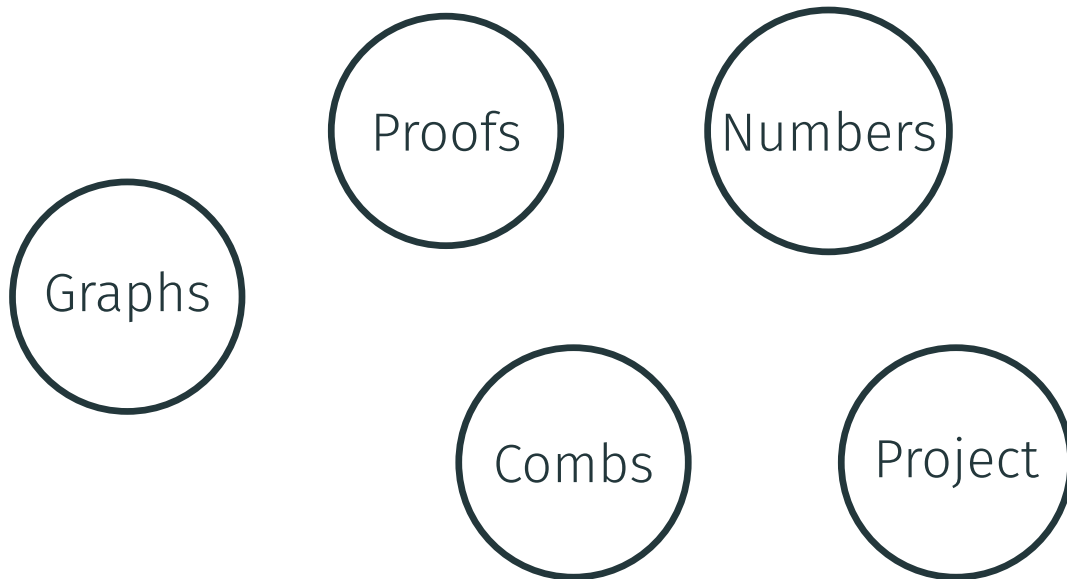
# Applications

# EXAM SCHEDULE

- Each student takes an exam in each of her courses
- All students in one course take the exam together
- One student cannot take two exams per day
- What is the minimum number of days needed for the exams?

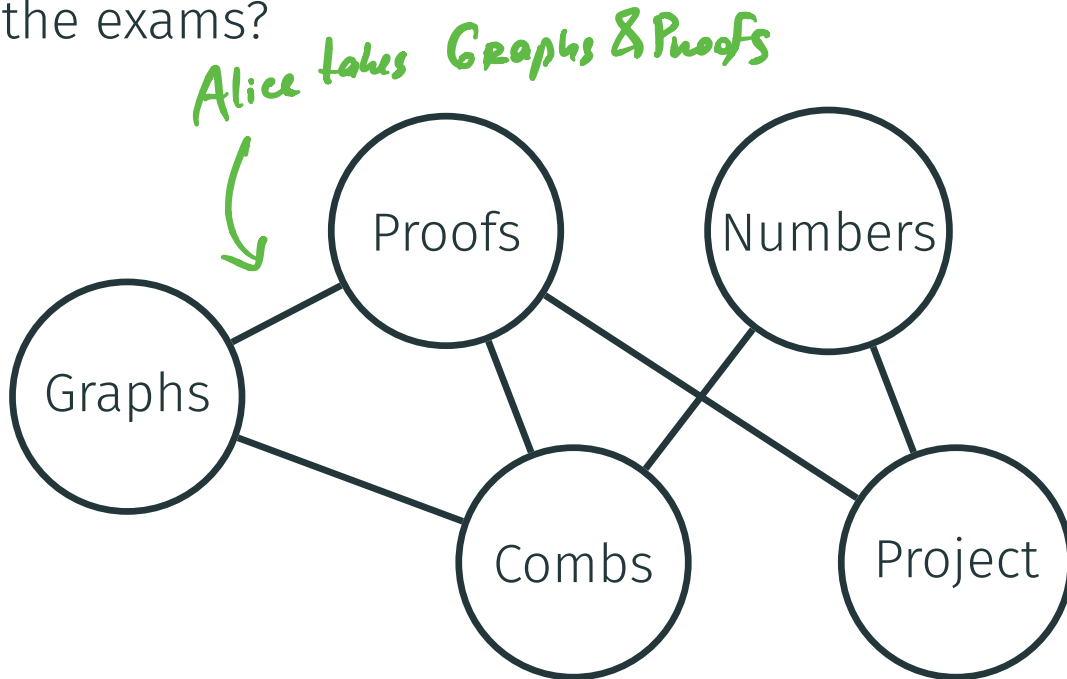
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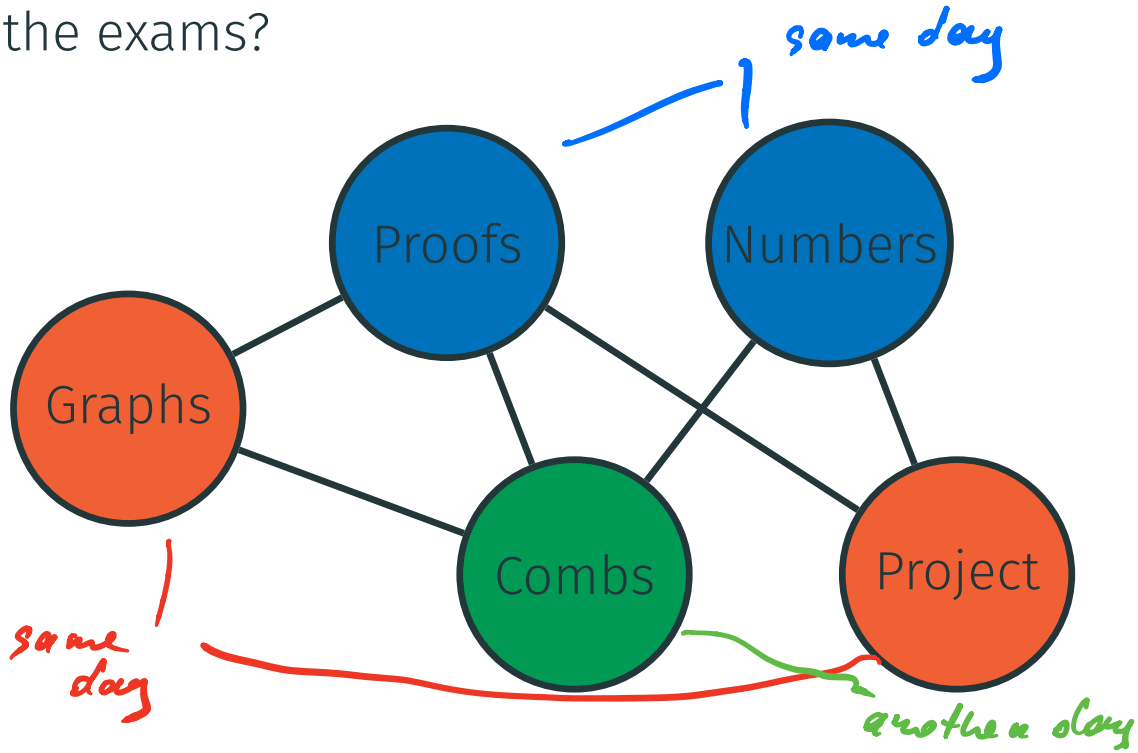
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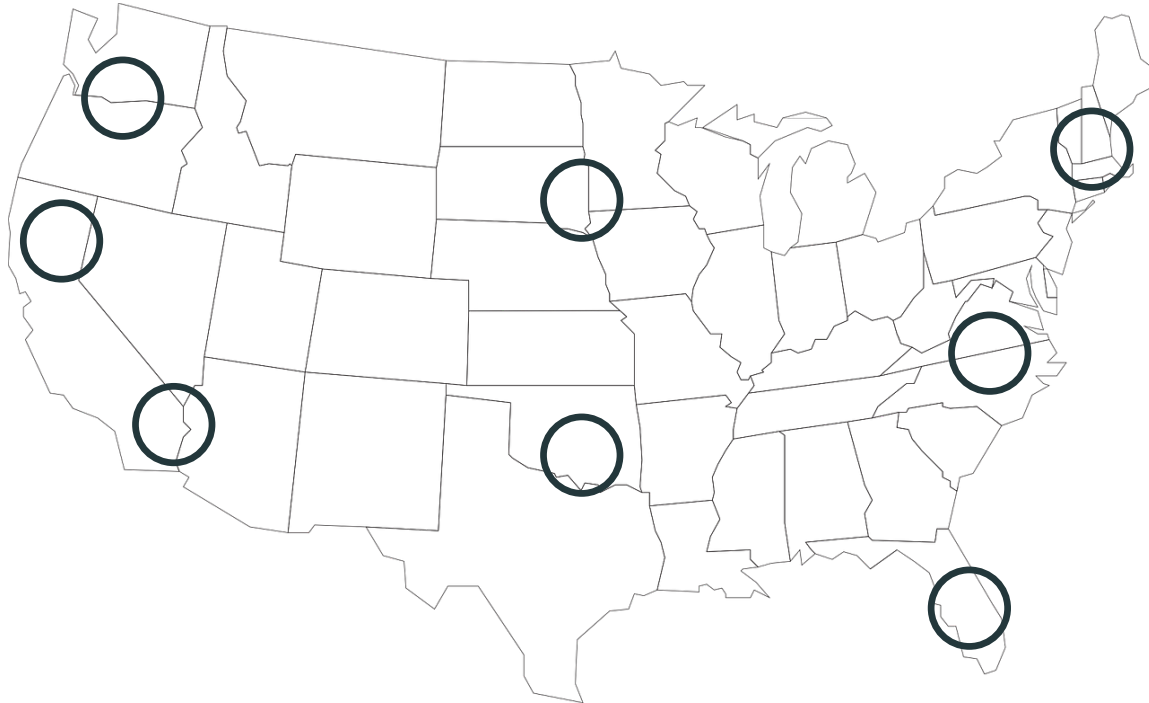
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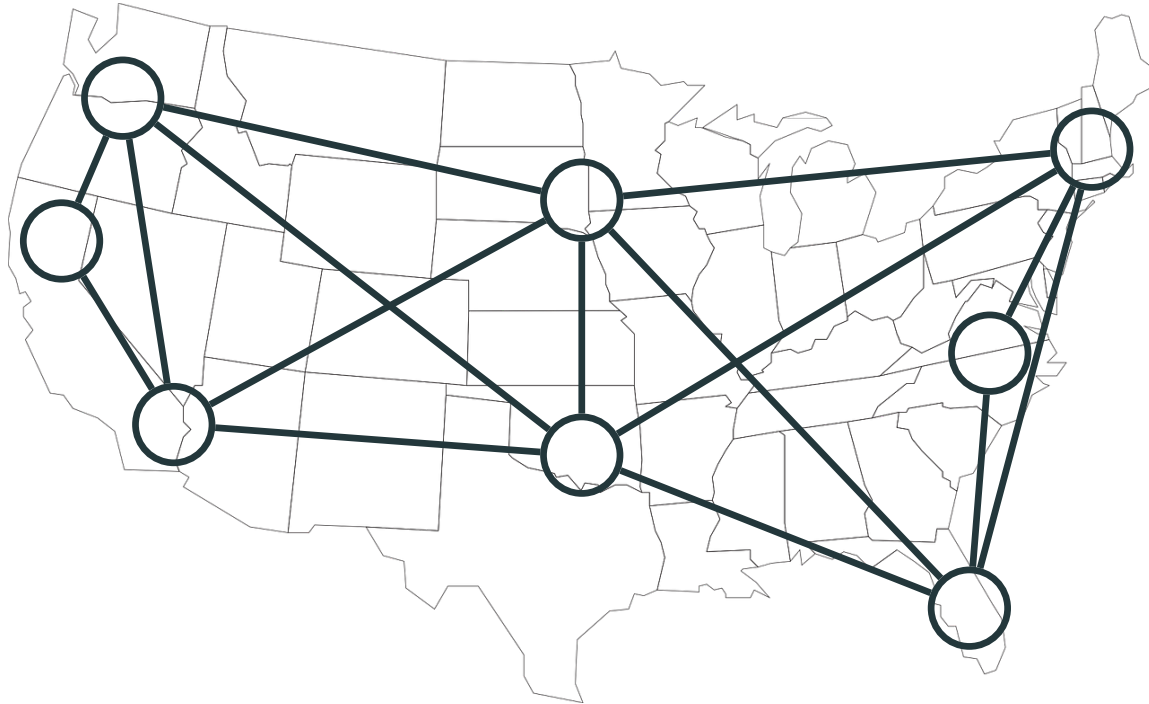
# BANDWIDTH ALLOCATION

Different stations are allowed to use the same frequency if they are far apart. What is an optimal assignment of frequencies to stations?



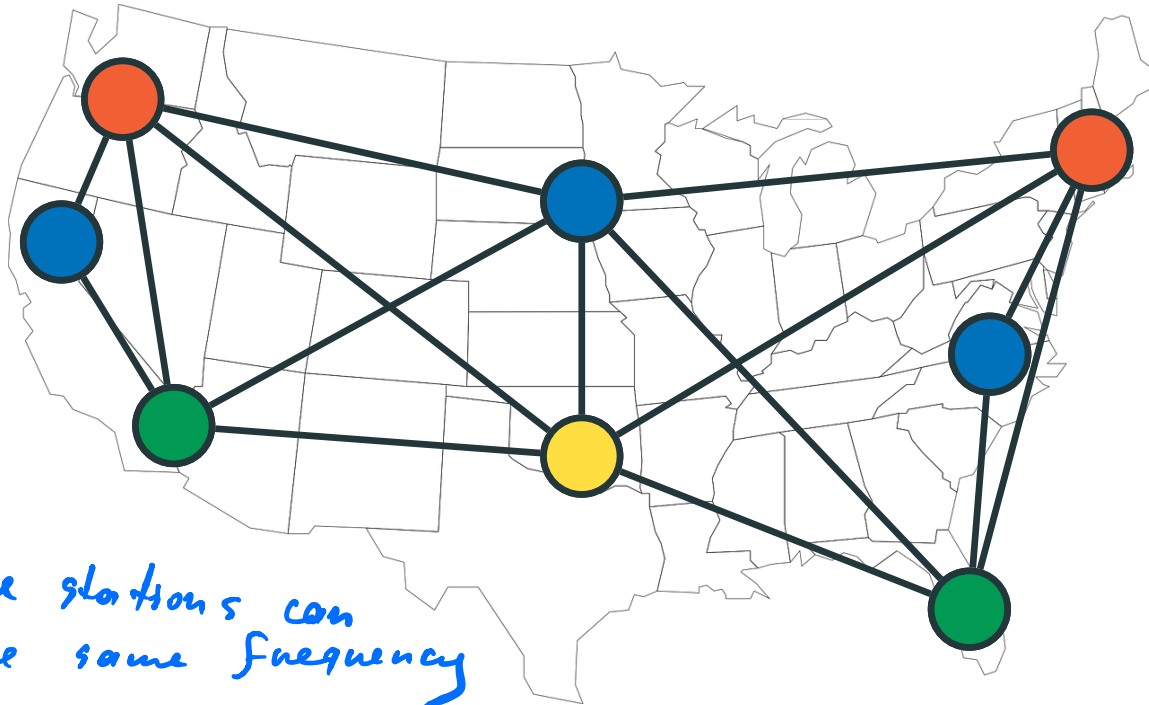
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# OTHER APPLICATIONS

- Scheduling Problems
- Register Allocation
- Sudoku puzzles
- Taxis scheduling
- ...

# Exact Algorithm for Coloring

# DYNAMIC PROGRAMMING

- Given graph  $G$  on  $n$  vertices, find  $\chi(G)$ —minimum number of colors in a valid coloring of  $G$

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# DYNAMIC PROGRAMMING

- Given graph  $G$  on  $n$  vertices, find  $\chi(G)$ —minimum number of colors in a valid coloring of  $G$
- Dynamic programming is one of the most powerful algorithmic techniques
- Rough idea: express a solution for a problem through solutions for smaller subproblems

# SUBPROBLEMS

- For a subset of vertices  $S \subseteq \{1, \dots, n\}$  compute  $\chi(S)$ —the minimum number of colors needed to color vertices  $S$

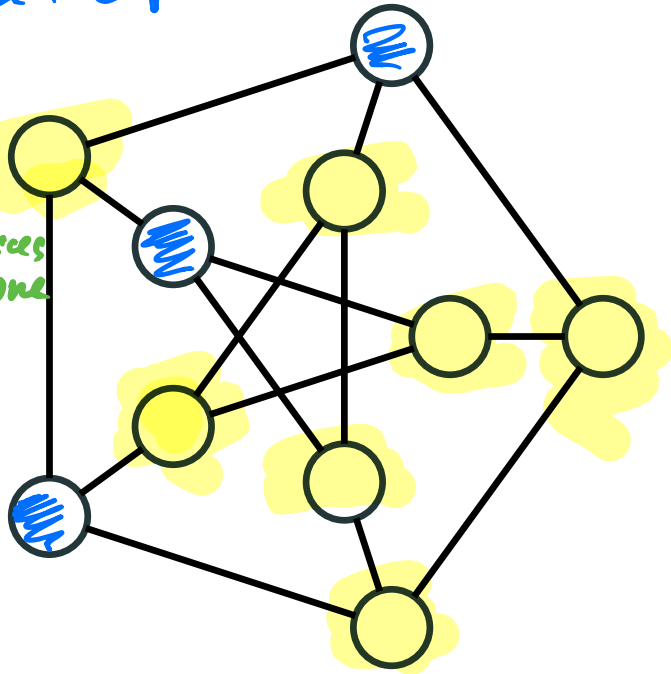
# SUBPROBLEMS

$$\chi(G) = 1 + \chi(G \setminus U)$$

• • • - set  $U$

Try all subsets  $U$   
If 3 edges  
between 2 vertices  
in  $U$  - ignore

Else  
 $1 + \chi(G \setminus U)$



# SUBPROBLEMS

- For a subset of vertices  $S \subseteq \{1, \dots, n\}$  compute  $\chi(S)$ —the minimum number of colors needed to color vertices  $S$
- Consider  $S$ . For any subset  $U \subseteq S$ , if there are no edges between vertices from  $U$ , we can color them all in one color, and use  $\chi(S \setminus U)$  to color the rest

$$\min_U 1 + \chi(S \setminus U)$$

$U$ , s.t. no edges in  $U$



# SUBPROBLEMS

- For a subset of vertices  $S \subseteq \{1, \dots, n\}$  compute  $\chi(S)$ —the minimum number of colors needed to color vertices  $S$
- Consider  $S$ . For any subset  $U \subseteq S$ , if there are no edges between vertices from  $U$ , we can color them all in one color, and use  $\chi(S \setminus U)$  to color the rest

$$\chi(S) = \min_{U \text{ without edges}} 1 + \chi(S \setminus U)$$

# ORDER OF SUBPROBLEMS

- Need to process all subsets  $S \subseteq \{1, \dots, n\}$  in order that guarantees that when computing the value of  $\chi(S)$ , the values of  $\chi(S \setminus U)$  have already been computed

# ORDER OF SUBPROBLEMS

- Need to process all subsets  $S \subseteq \{1, \dots, n\}$  in order that guarantees that when computing the value of  $\chi(S)$ , the values of  $\chi(S \setminus U)$  have already been computed
- For example, we can process subsets in order of increasing size

# ALGORITHM

$$\chi(\emptyset) = 0$$

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$$s = |S|$$

for  $s$  from 1 to  $n$ :

for all  $S \subseteq \{1, \dots, n\}$  of size  $s$ :

$$\chi(S) = ?$$

# ALGORITHM

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for  $s$  from 1 to  $n$ :

for all  $S \subseteq \{1, \dots, n\}$  of size  $s$ :

for all  $U \subseteq S$ ,  $U$  without edges

$$\chi(S) \leftarrow \min\{\chi(S), \underline{\chi(S \setminus U) + 1}\}$$

$$\chi(\{1, \dots, n\})$$

# ALGORITHM

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return  $\chi(\{1, \dots, n\})$

# RUNNING TIME

$$\chi(\emptyset) = 0$$

FOR S FROM 1 TO n:

FOR ALL  $S \subseteq \{1, \dots, n\}$  OF SIZE  $s$ :

FOR ALL  $U \subseteq S$ ,  $U$  WITHOUT EDGES

$$\chi(S) \leftarrow \min\{\chi(S), \chi(S \setminus U) + 1\}$$

RETURN  $\chi(\{1, \dots, n\})$

$$\sum_{s=1}^n \binom{n}{s} \cdot 2^s = 3^n$$

# of choices  $S$       # of choices  $U$

Binomial thm:  $(x+y)^n = \sum_{i=0}^n \binom{n}{i} \cdot x^i \cdot y^{n-i}$

$$(x+y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$$

$$3^n = (2+1)^n = \sum_{i=0}^n \binom{n}{i} 2^i \cdot 1^{n-i} = \sum_{i=0}^n \binom{n}{i} 2^i$$



# Randomized Algorithm for 3-Coloring

# RANDOMIZED ALGORITHM

*NP-hard*

- Given a 3-colorable graph, find a 3-coloring

*Compare: 2-coloring  $\equiv$  Bipartite  
in poly time*

*Recall: 2-SAT in poly time  
3-SAT NP-hard*

# RANDOMIZED ALGORITHM

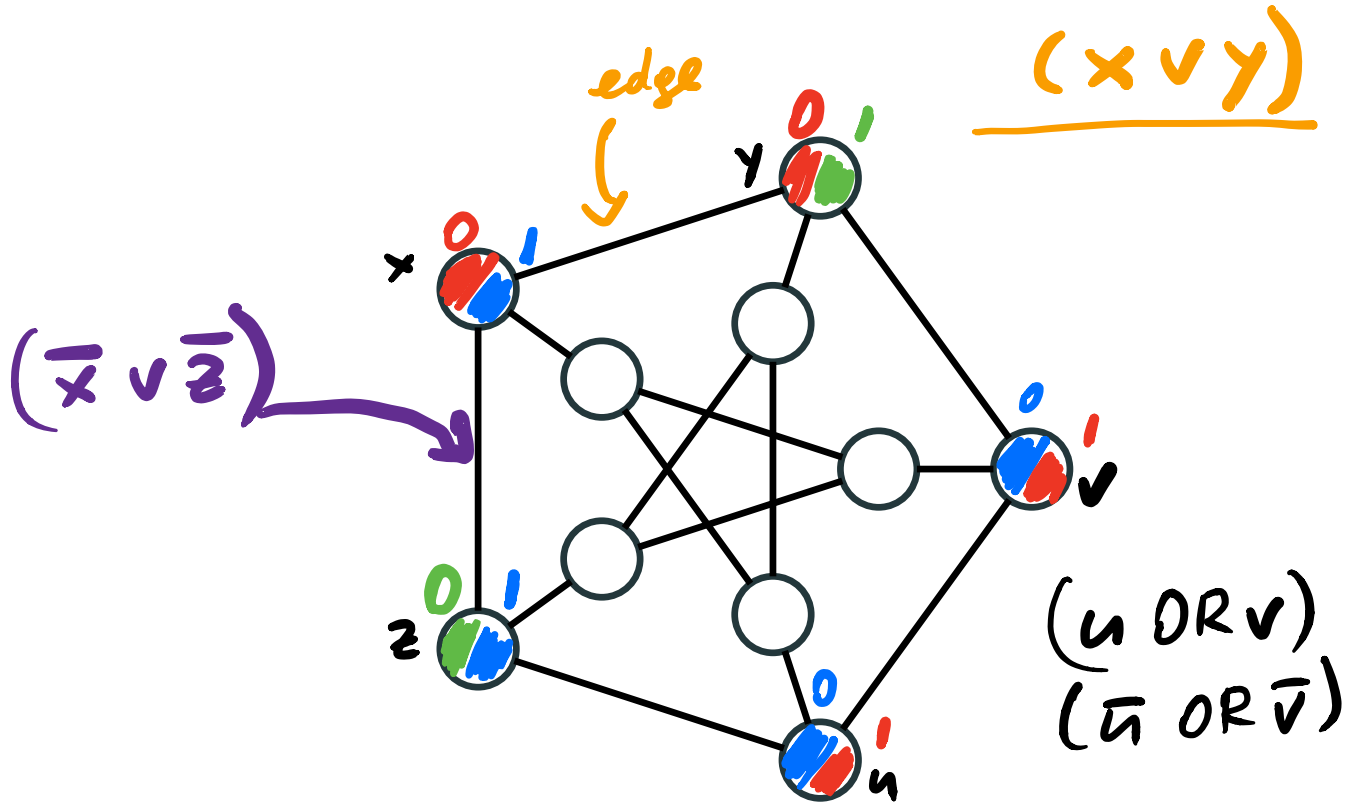
- Given a 3-colorable graph, find a 3-coloring
- This problem is **NP**-hard, we'll give an exponential-time algorithm

# RANDOMIZED ALGORITHM



- Forbid one random color at each vertex

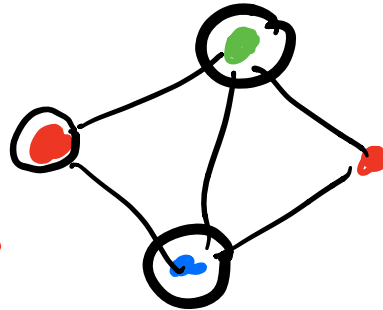
# RANDOMIZED ALGORITHM



We get 2-SAT instance  
2-SAT in linear time

# RANDOMIZED ALGORITHM

- (Forbid one random color at each vertex)
- Solve 2-SAT in polynomial time



I forbid  
with  $\frac{1}{3}$

with probability  $\frac{2}{3}$ , 1st vertex will be allowed use ●

In total, w.p.  $\geq (\frac{2}{3})^n$ , every vertex is allowed to use its "correct" color

# RANDOMIZED ALGORITHM

$1.5^n$  times repeat:

- Forbid one random color at each vertex
  - Solve 2-SAT in polynomial time
- 
- Repeat the algorithm  $(3/2)^n$  times

Randomized algorithm for 3-coloring that  
runs  $(3/2)^n$  and succeeds with high  
probability

# Approximate Algorithm for 3-Coloring



# APPROXIMATE COLORING

- Given a 3-colorable graph, finding a 3-coloring is **NP-hard** *exp. time*

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# APPROXIMATE COLORING

- Given a 3-colorable graph, finding a 3-coloring is **NP-hard**
- Given a 3-colorable graph, finding an  $n$ -coloring is **trivial**
- We'll see how to find an  $O(\sqrt{n})$ -coloring in **polynomial** time

*$3\sqrt{n}$  colors*

# GRAPHS OF BOUNDED DEGREE

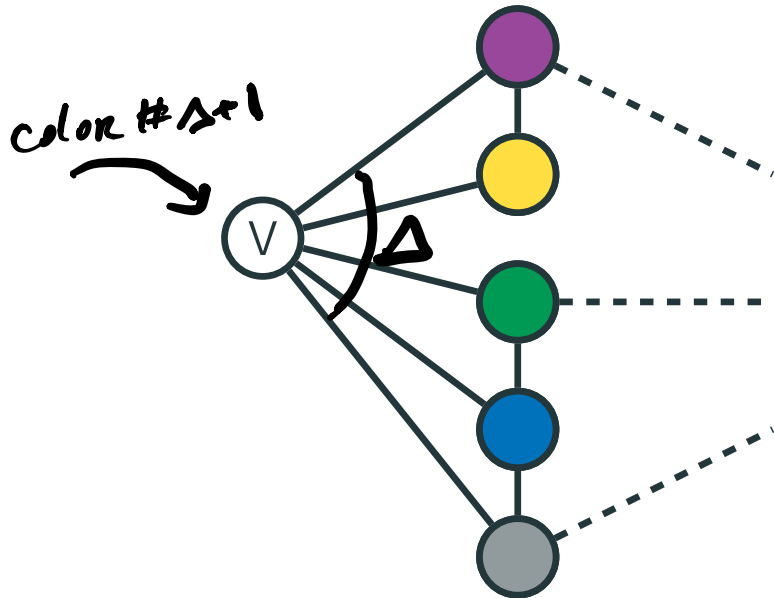
## Greedy Coloring

A graph  $G$  where each vertex has degree  $\leq \Delta$  can be colored with  $\Delta + 1$  colors.

# GRAPHS OF BOUNDED DEGREE

## Greedy Coloring

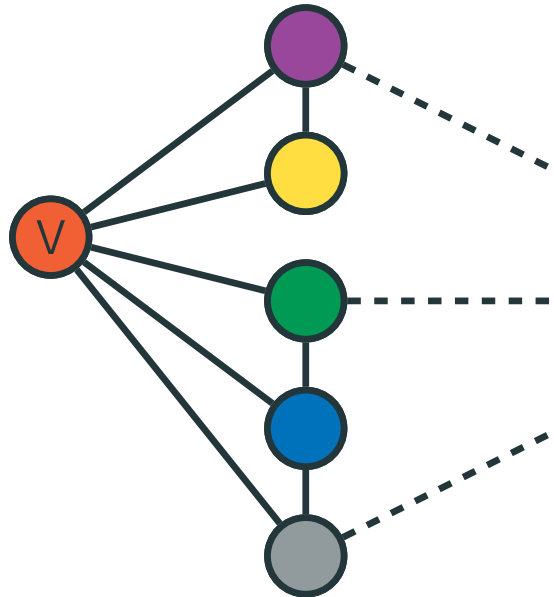
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# GRAPHS OF BOUNDED DEGREE

## Greedy Coloring

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# APPROXIMATE ALGORITHM *for 3-coloring*

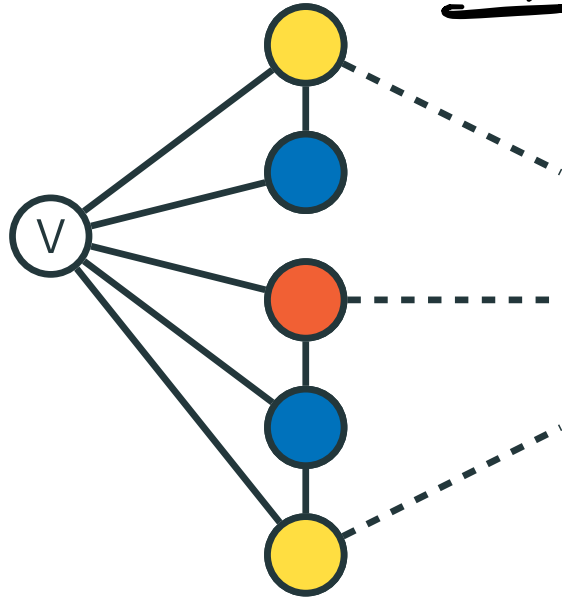
While there is vertex  $v \in G$  of degree  $\geq \sqrt{n}$ :

# APPROXIMATE ALGORITHM

While there is vertex  $v \in G$  of degree  $\geq \sqrt{n}$ :

The set of its neighbors is  
2-colorable  $\equiv$  bipartite

Proof: Assume not.



$v$  cannot use any  
of these 3 cols.

$v$  requires 4th  
color.

$\Rightarrow$  original  
graph is not  
3-colorable.

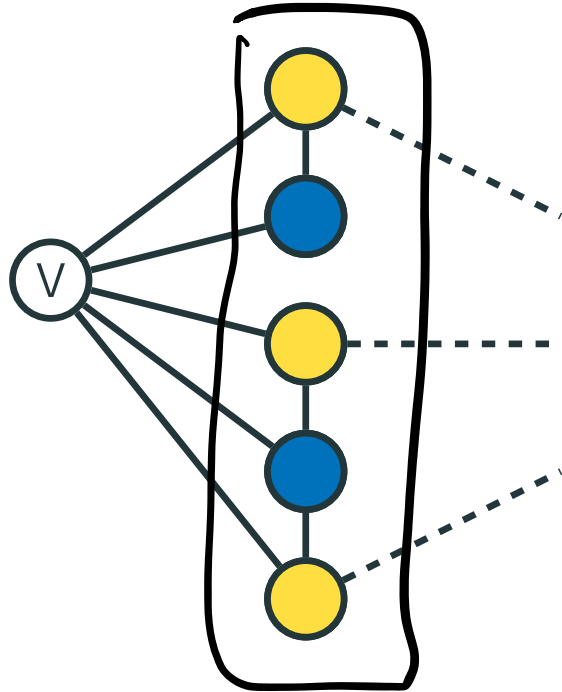
Contradiction!  $\square$



# APPROXIMATE ALGORITHM

While there is vertex  $v \in G$  of degree  $\geq \sqrt{n}$ :

*Neighbors of  $v$  can be 2-colored, I can do this in linear time*



## APPROXIMATE ALGORITHM

While there is vertex  $v \in G$  of degree  $\geq \sqrt{n}$ :  
    Color the neighbors of  $v$  in 2 new colors,  
    remove them from the graph

# APPROXIMATE ALGORITHM

While there is vertex  $v \in G$  of degree  $\geq \sqrt{n}$ :  
Color the neighbors of  $v$  in 2 new colors,  
remove them from the graph

$2\sqrt{n}$   
colors

$\sqrt{n}$   
colors | All remaining vertices have degree  $< \sqrt{n}$ . Color  
the rest of the graph using  $\sqrt{n}$  new colors

Degree  $\Delta \leq \sqrt{n} - 1$

Recall: can color  $\Delta + 1 = \sqrt{n}$  colors.

---

# used colors.

In each iteration of while loop, using 2 new colors.

How many iterations?  $n$  vertices in graph,  
removing  $\geq \sqrt{n}$  of them  $\Rightarrow$  # iterations  $\leq \sqrt{n}$

After loop: using  $\leq \sqrt{n}$  colors  
 $\leq 3\sqrt{n}$  colors