

MATRIX RIGIDITY

RIGIDITY AND DATA STRUCTURES

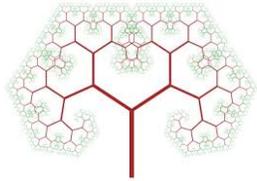
Sasha Golovnev

December 3, 2020

EXAMPLES



Stack, Queue, List, Heap



Search Trees

```
hash(unsigned x) {  
    x ^= x >> (w-m);  
    return (a*x) >> (w-m);  
}
```

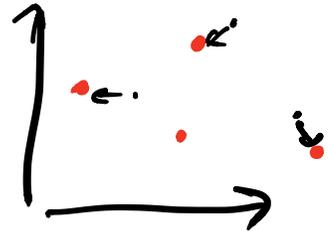
Hash Tables

STATIC DATA STRUCTURES. EXAMPLES

- **Graph Distances:** Preprocess a road network in order to efficiently compute distances between cities
(Google Maps)

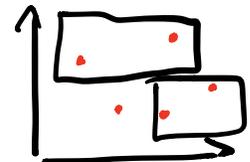
STATIC DATA STRUCTURES. EXAMPLES

- **Graph Distances:** Preprocess a road network in order to efficiently compute distances between cities
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- **Nearest Neighbors:** Preprocess a set of points in order to efficiently find closest point to a query point
(Netflix recommendations)



STATIC DATA STRUCTURES. EXAMPLES

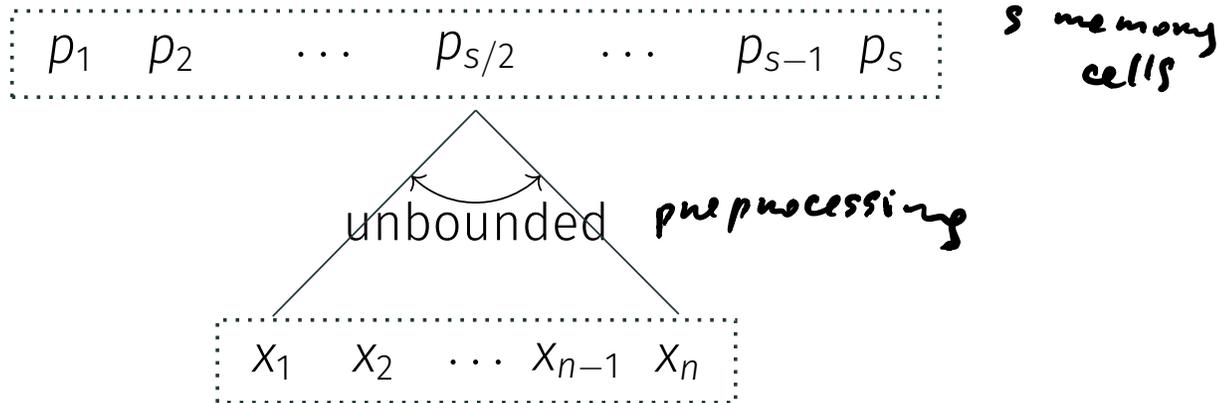
- **Graph Distances:** Preprocess a road network in order to efficiently compute distances between cities
(Google Maps)
- **Nearest Neighbors:** Preprocess a set of points in order to efficiently find closest point to a query point
(Netflix recommendations)
- **Range Counting:** Preprocess a set of points in order to efficiently compute the number of points in a given rectangle
(Amazon market size estimation)



STATIC DATA STRUCTURES. DEFINITION

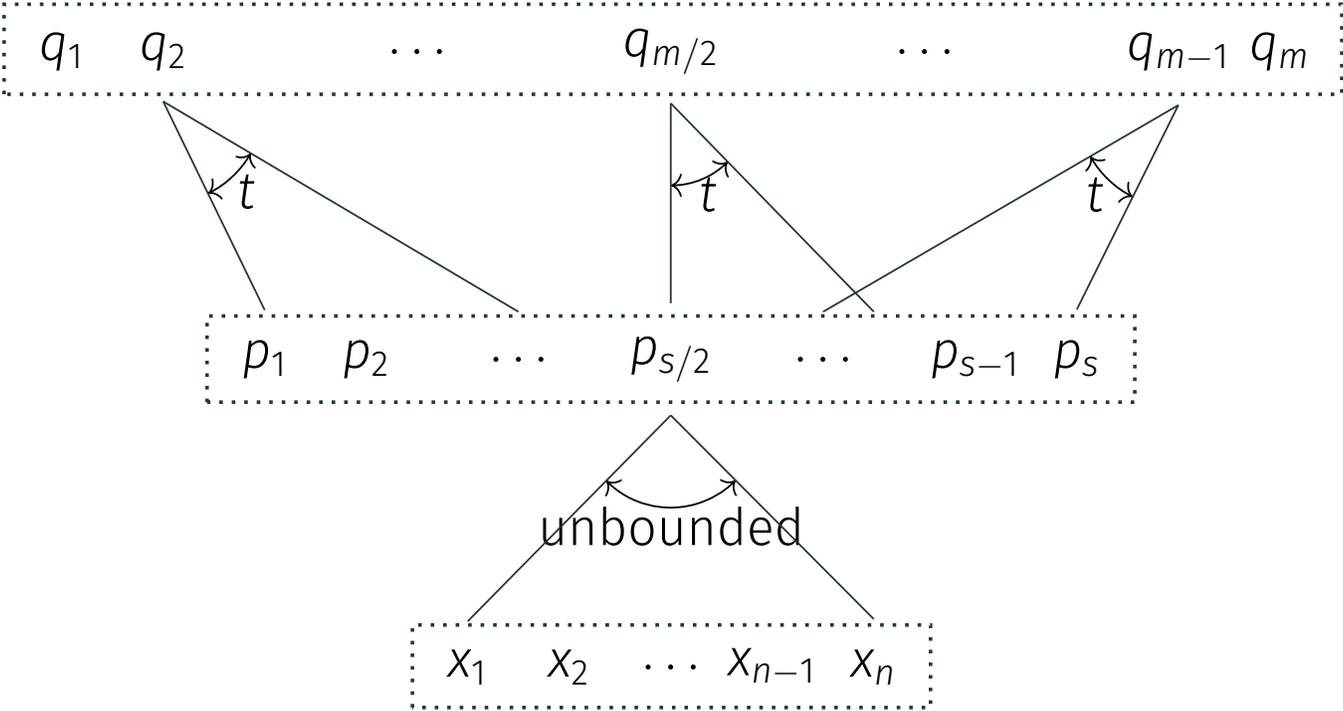
input $\{$ $x_1 \quad x_2 \quad \dots \quad x_{n-1} \quad x_n$ $\}$ — Road network

STATIC DATA STRUCTURES. DEFINITION

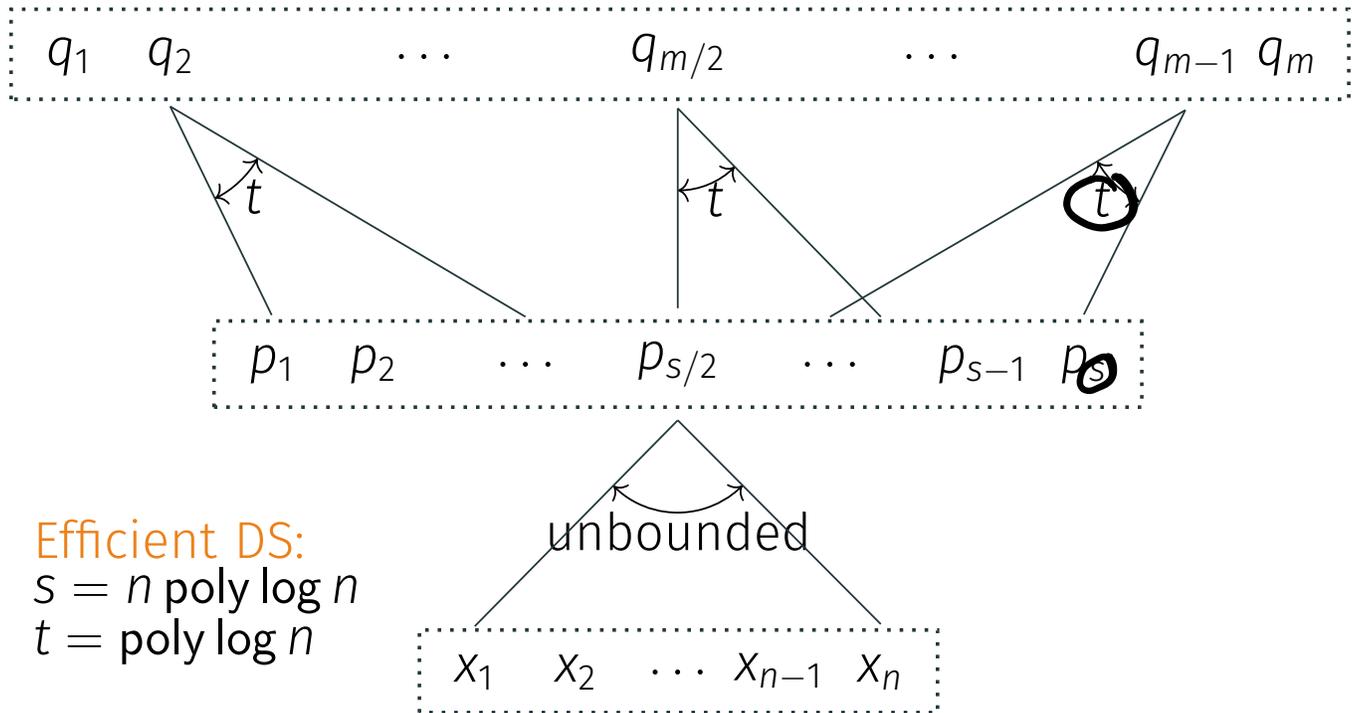


STATIC DATA STRUCTURES. DEFINITION

m queries are known in advance
 $m = n^{O(1)}$ Say, $m = n^{100}$



STATIC DATA STRUCTURES. DEFINITION



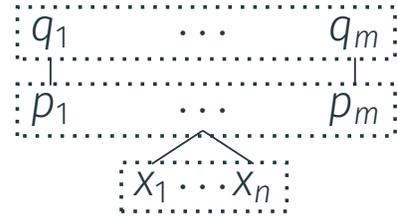
DS LOWER BOUNDS

- Two trivial solutions:

DS LOWER BOUNDS

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- $s = \underline{\underline{m}}, t = 1$

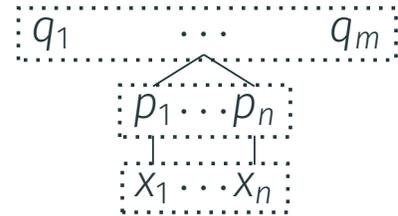


DS LOWER BOUNDS

• Two trivial solutions:

• $s = \cancel{n}, t = 1$

• $s = \underline{n}, t = \cancel{n}$



DS LOWER BOUNDS

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- $s = m$, $t = 1$

- $s = n$, $t = n$

- There exist problems requiring $s \approx m$ or $t \approx n$

DS LOWER BOUNDS

- Two trivial solutions:
 - $s = m, t = 1$
 - $s = n, t = n$
- There exist problems requiring $s \approx m$ or $t \approx n$
- Best known concrete lower bound [Sie89]:

$$t \geq \Omega \left(\frac{\log m}{\log(s/n)} \right)$$

$$m = \text{poly}(n) \\ \log m = O(\log n)$$

DS LOWER BOUNDS

- Two trivial solutions:
 - $s = m, t = 1$
 - $s = n, t = n$
- There exist problems requiring $\underline{s} \approx m$ or $\underline{t} \approx n$
- Best known concrete lower bound [Sie89]:

$$t \geq \Omega\left(\frac{\log m}{\log(s/n)}\right)$$

$$\boxed{s = O(n)} \implies \underline{t \geq \Omega(\log n)}$$

we have an explicit problem (say, ECC) s.t. every DS that uses linear space $s = O(n)$ must have $t \geq \Omega(\log n)$

DS LOWER BOUNDS

- Two trivial solutions:
 - $s = m, t = 1$
 - $s = n, t = n$
- There exist problems requiring $s \approx m$ or $t \approx n$
- Best known concrete lower bound [Sie89]:

$$t \geq \Omega\left(\frac{\log m}{\log(s/n)}\right)$$

- $s = O(n)$ $\implies t \geq \Omega(\log n)$
- $s = n^{1+\varepsilon}$ $\implies t \geq \Omega(1)$ — trivial

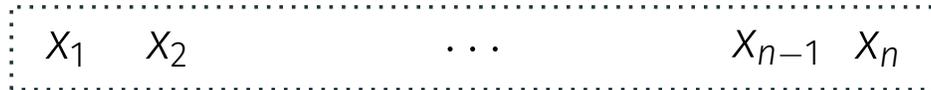
CIRCUIT LOWER BOUNDS

- n inputs, $m = O(n)$ outputs $f: \{0,1\}^n \rightarrow \{0,1\}^m$
 $m = O(n)$

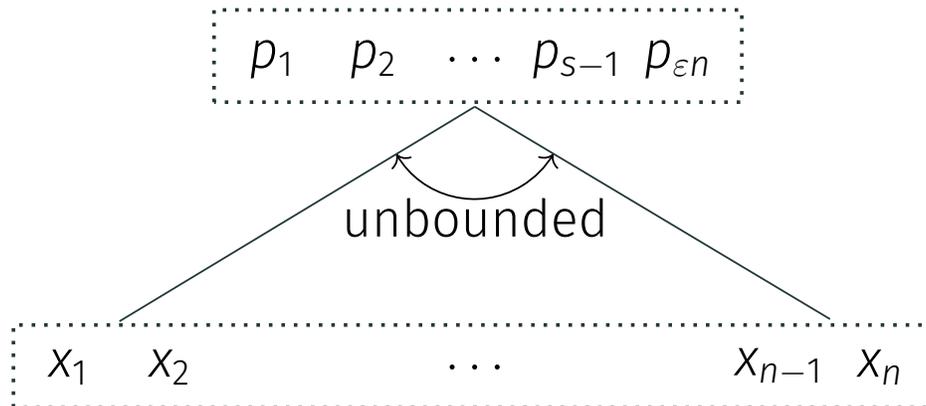
CIRCUIT LOWER BOUNDS

- n inputs, $m = O(n)$ outputs
- $O(n)$ size, $O(\log n)$ depth

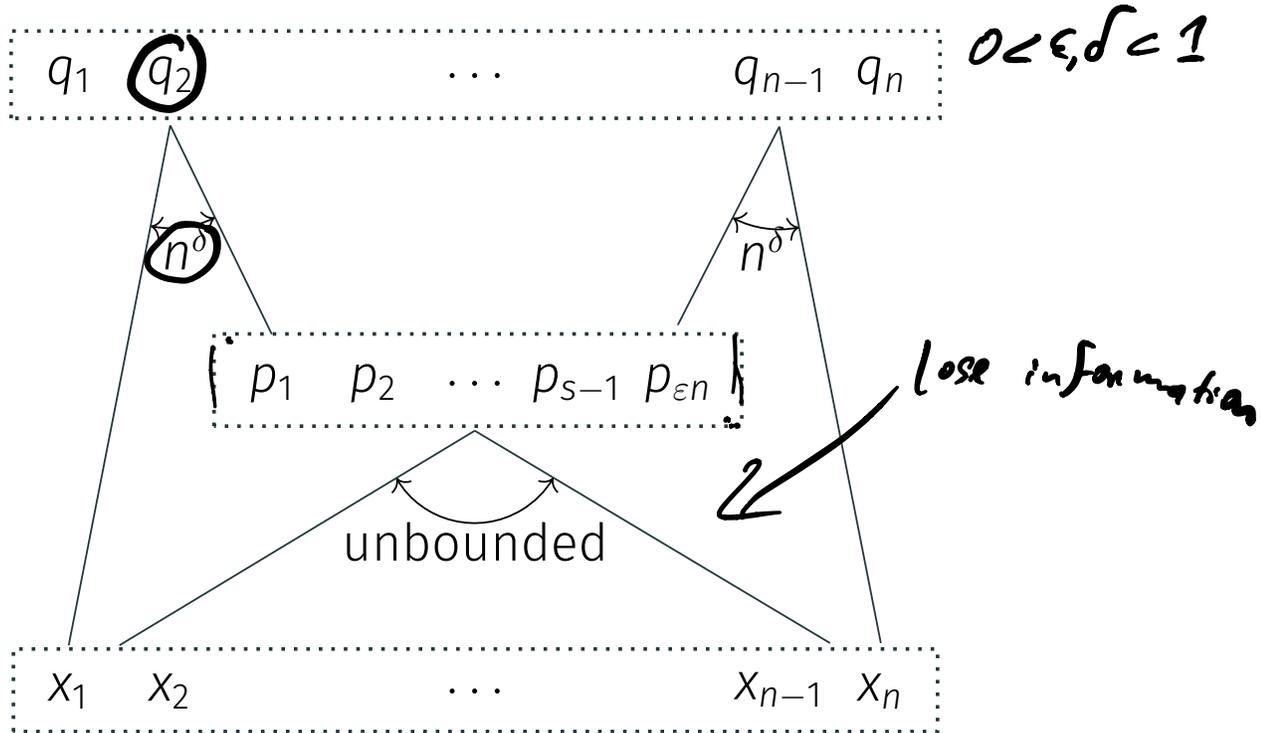
CIRCUITS PICTORIALY



CIRCUITS PICTORIALLY



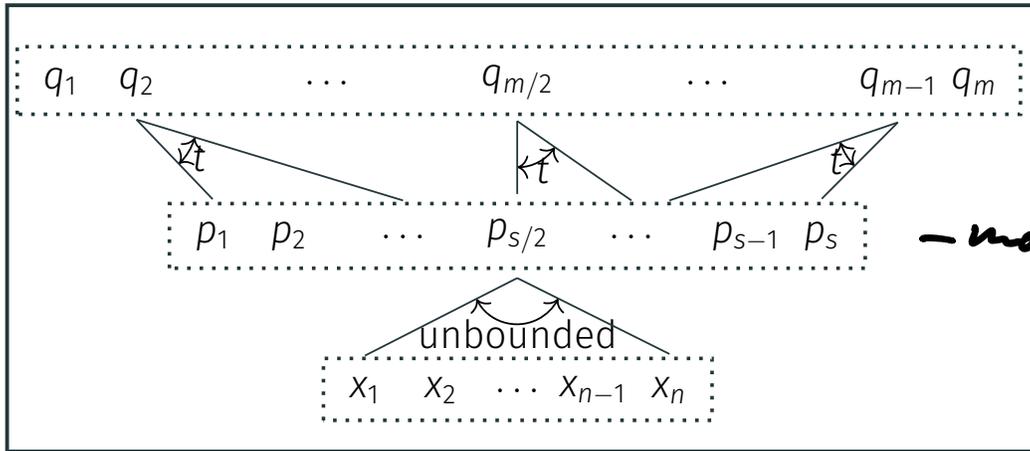
CIRCUITS PICTORIALLY



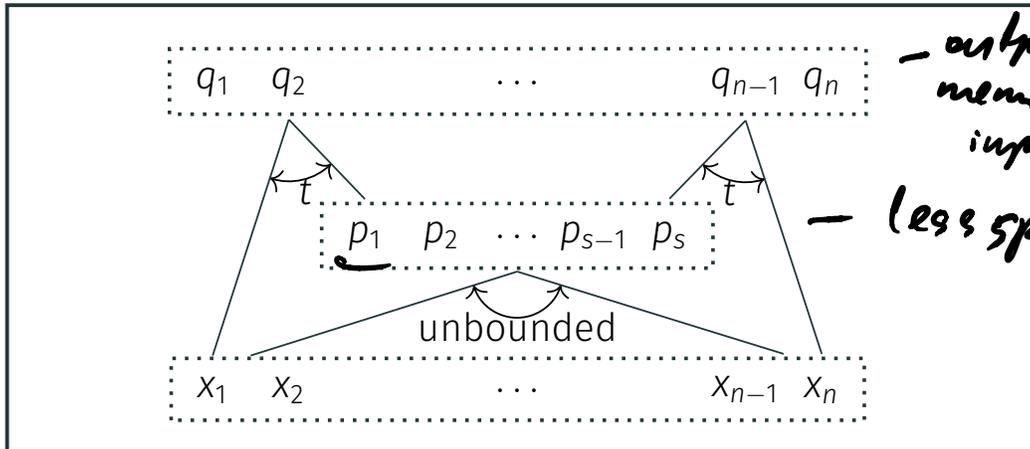
If we have $f: \{0, 1\}^n \rightarrow \{0, 1\}^n$ that cannot be computed by such a depth-2 circuit \implies f requires $\omega(n)$ -size cuts of $O(\log n)$ -depth

COMPARISON

DS



Chts



Linear Problems

LINEAR CIRCUITS

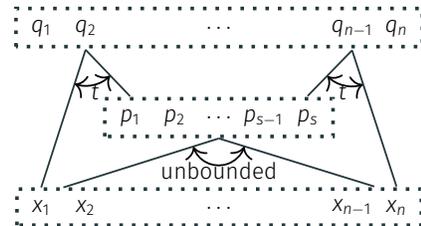
- A linear circuit computes Mx for input $x \in \mathbb{F}^n$
where $M \in \mathbb{F}^{m \times n}$ $x \rightarrow Mx$

LINEAR CIRCUITS

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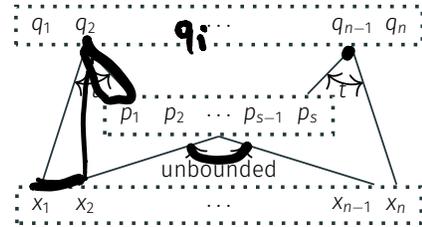
$$M = A + \begin{matrix} \text{memory cells} \\ \text{on inputs} \end{matrix} \begin{matrix} \boxed{C} \cdot \boxed{D} \end{matrix} \begin{matrix} \text{outputs} \\ \text{depend on} \\ \text{memory} \\ \text{cells} \end{matrix}$$

$x \rightarrow Mx$
 M , i th now describes the dependence of the i th output on the inputs

$$A \in \mathbb{F}^{m \times n}$$

describes inputs

dependence of outputs on

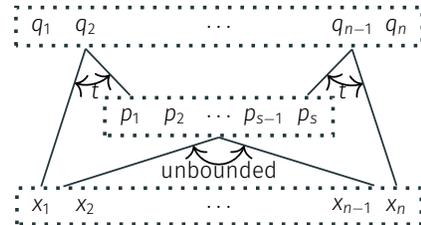


LINEAR CIRCUITS

- A linear circuit computes Mx for input $x \in \mathbb{F}^n$ where $M \in \mathbb{F}^{m \times n}$
- For a circuit of size $O(n)$ and depth $O(\log n)$,

$$M = A + C \cdot D$$

$\begin{matrix} m \times n & & m \times n & & \varepsilon n \times n \\ & & & & \\ m \times n & & m \times \varepsilon n & & \end{matrix}$

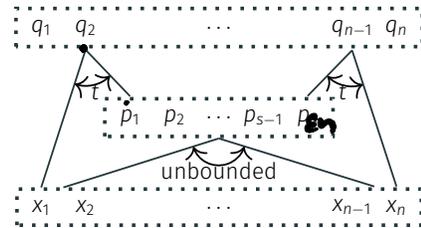


LINEAR CIRCUITS

- A linear circuit computes Mx for input $x \in \mathbb{F}^n$ where $M \in \mathbb{F}^{m \times n}$
- For a circuit of size $O(n)$ and depth $O(\log n)$,

$$M = \underbrace{A}_{m \times n, \text{ sparse}} + \underbrace{C}_{m \times \epsilon n} \cdot \underbrace{D}_{\epsilon n \times n}$$

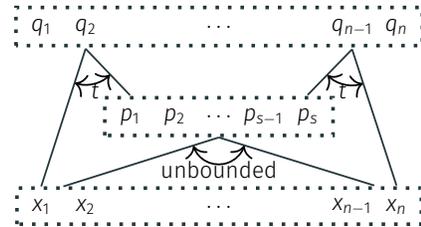
$\text{rk}(C), \text{rk}(D), \text{rk}(CD) \in \epsilon^4$



LINEAR CIRCUITS

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- For a circuit of size $O(n)$ and depth $O(\log n)$,

$$\begin{array}{c}
 \begin{array}{c}
 m \times n \\
 A \\
 \text{sparse}
 \end{array}
 +
 \begin{array}{c}
 m \times n \\
 C \\
 \text{sparse}
 \end{array}
 \cdot
 \begin{array}{c}
 \varepsilon n \times n \\
 D \\
 \text{low-rank}
 \end{array}
 =
 \begin{array}{c}
 m \times n \\
 A \\
 \text{sparse}
 \end{array}
 +
 \begin{array}{c}
 m \times \varepsilon n \\
 B \\
 \text{low-rank}
 \end{array}
 \end{array}
 = M$$



LINEAR CIRCUITS

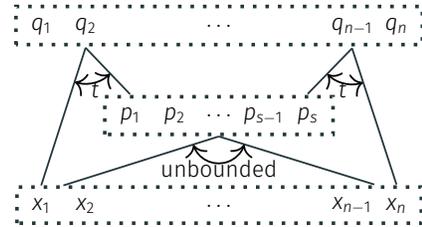
- A linear circuit computes Mx for input $x \in \mathbb{F}^n$ where $M \in \mathbb{F}^{m \times n}$

[Val77]

- For a circuit of size $O(n)$ and depth $O(\log n)$,

$$M = \overset{m \times n}{A} + \overset{m \times n}{C} \cdot \overset{\varepsilon n \times n}{D} = \overset{m \times n}{A} + \overset{m \times \varepsilon n}{B}$$

sparse
sparse
low-rank



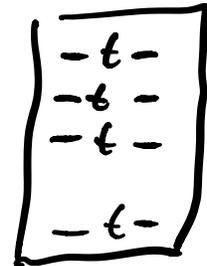
Today, t -sparse means $\leq t$ non-zeros in every row

- $M \in \mathbb{F}^{m \times n}$ is $(\varepsilon n, t)$ -rigid iff

$$M \neq A + B$$

t -sparse
 $\text{rk} \leq \varepsilon n$

Row-sparse if



LINEAR DATA STRUCTURES

- A linear DS computes Mx for input $x \in \mathbb{F}^n$
where $M \in \mathbb{F}^{m \times n}$

LINEAR DATA STRUCTURES

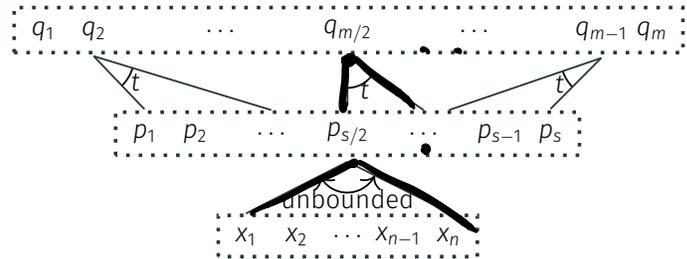
- A linear DS computes Mx for input $x \in \mathbb{F}^n$ where $M \in \mathbb{F}^{m \times n}$

$$p_i = T_i x, \quad T_i \in \mathbb{F}^{1 \times n}$$

All memory cells

$$p = S \cdot x, \quad S \in \mathbb{F}^{s \times n}$$

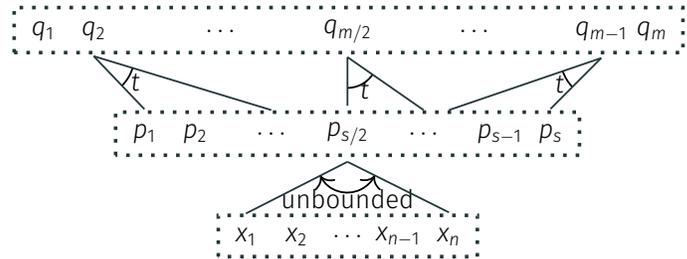
$$x \rightarrow Mx$$



LINEAR DATA STRUCTURES

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$$M = A \cdot B$$



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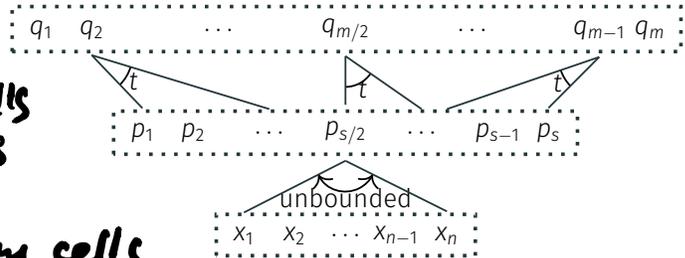
$$M = A \cdot B$$

$m \times n$ $m \times s$ $s \times n$

dep of memory cells on inputs

dep of outputs on memory cells

t-spanned



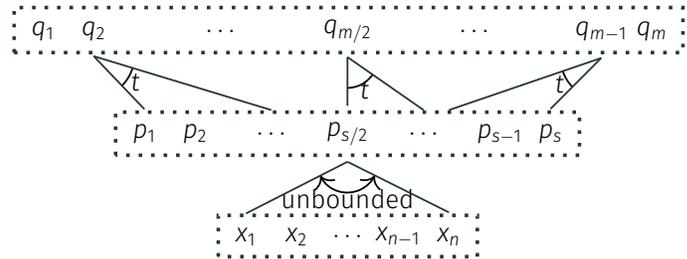
LINEAR DATA STRUCTURES

$$m = n^{100}$$

$$s = n \text{ polylog}(n)$$

- A linear DS computes Mx for input $x \in \mathbb{F}^n$ where $M \in \mathbb{F}^{m \times n}$

$$\begin{array}{c}
 m \times n \quad m \times s \quad s \times n \\
 M = A \cdot B \\
 \underbrace{\quad \quad \quad}_{t\text{-sparse}} \quad \underbrace{\quad \quad \quad}_{\text{small}}
 \end{array}$$



M is an $n^{100} \times n$

B is an $n \text{ polylog}(n) \times n$ matrix

COMPARISON

Small circuit / Non-rigid

$$\begin{array}{c} m \times n \quad m \times n \quad m \times n \\ M = A + B \\ \swarrow \quad \searrow \\ t\text{-sparse} \quad \text{rk} \leq \varepsilon n \end{array}$$

COMPARISON

Small circuit / Non-rigid
 $x \rightarrow Mx$

$$\begin{array}{c} m \times n \quad m \times n \quad m \times n \\ M = A + B \\ \text{\textit{t-sparse}} \quad \text{rk} \leq \epsilon n \end{array}$$

Efficient Data Structure

$$\begin{array}{c} m \times n \quad m \times s \quad s \times n \\ M = A \cdot B \\ \text{\textit{t-sparse}} \quad \text{small} \end{array}$$

DS and Rigidity

RIGIDITY LEMMA

$$M = \begin{matrix} & \overset{n}{\square} \\ \overset{m}{=} & \end{matrix}$$

Lemma $\text{rk}(M) = n$

If $M \in \mathbb{F}^{\underline{m \times n}}$ is *not* $(\varepsilon n, t)$ -rigid, then $\exists A \in \mathbb{F}^{\underline{m \times n}}$
s.t. A is t -sparse, and
 $\dim(\underline{\text{Col}(A)} \cap \underline{\text{Col}(M)}) \geq \underline{n(1 - 2\varepsilon)}$.

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Lemma

If $M \in \mathbb{F}^{m \times n}$ is *not* $(\varepsilon n, t)$ -rigid, then $\exists A \in \mathbb{F}^{m \times n}$
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Proof.

$$M = A + B$$

t -sparse $\text{rk} \leq \varepsilon n$

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Proof.

$$\boxed{M} = A + B \quad L \in \mathbb{F}^{m \times m}, \ker(L) = \underline{\text{Col}(M)}$$

$\begin{array}{cc} \swarrow & \searrow \\ t\text{-sparse} & \text{rk} \leq \varepsilon n \end{array}$

$$U = \underline{\text{Col}(M)}, \underline{U} \subseteq \mathbb{F}^m$$

Def. lin op $L: \mathbb{F}^m \rightarrow \mathbb{F}^m$

$$L(U) = 0 \quad \checkmark$$

$$\underline{L(x) \neq 0} \quad \text{if } x \notin U$$

e_1, \dots, e_n - basis of U

$e_1, \dots, e_n, \dots, e_m$ - basis of \mathbb{F}^m

$$L(e_1) = \dots = L(e_n) = 0$$

$$\boxed{L(e_i) = e_i} \quad i > n$$

RIGIDITY LEMMA

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s.t. A is *t-sparse*, and
 $\dim(\text{Col}(A) \cap \text{Col}(M)) \geq n(1 - 2\varepsilon)$.

Proof.

$$\begin{aligned} \sqrt{M} &= \underbrace{A}_{t\text{-sparse}} + \underbrace{B}_{\text{rk} \leq \varepsilon n} & L \in \mathbb{F}^{m \times m}, \boxed{\ker(L)} &= \boxed{\text{Col}(M)} \\ LM &= LA + LB & 0 &= LA + LB & LA &= -LB \end{aligned}$$

RIGIDITY LEMMA

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Proof.

$$M = A + B \quad L \in \mathbb{F}^{m \times m}, \ker(L) = \text{Col}(M)$$

t -sparse $\text{rk} \leq \varepsilon n$

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RIGIDITY LEMMA

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t -sparse $\text{rk} \leq \underline{\varepsilon n}$

$$LM = LA + LB \implies \text{rk}(LA) = \text{rk}(LB) \leq \underline{\varepsilon n}$$

$$\text{rk}(A) \geq \underline{n - \varepsilon n}$$

$A = M - B$
 $\text{rk}(A)$ is high ; $\text{rk}(LA)$ is low

RIGIDITY LEMMA

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Proof.

Found A

$$M = A + B \quad \begin{array}{l} \text{t-sparse} \\ \text{rk} \leq \varepsilon n \end{array}$$

$$L \in \mathbb{F}^{m \times m}, \ker(L) = \text{Col}(M)$$

$$LM = LA + LB \implies \text{rk}(LA) = \text{rk}(LB) \leq \varepsilon n$$

$$\text{rk}(A) \geq n - \varepsilon n \implies$$

$$\dim(\text{Col}(A) \cap \ker(L)) \geq n(1 - 2\varepsilon) \quad \square$$

DS AND RIGIDITY

Theorem

Every $M \in \mathbb{F}^{m \times n}$

~~X~~ either is computable by a DS with $s = \underline{(1 + \varepsilon)n}$ and \underline{t} ,
✓ or it contains a submatrix $M' \in \mathbb{F}^{m' \times n'}$ which is
 $(\varepsilon n', \frac{t}{\log n})$ -rigid.

DS LB for $M \Rightarrow$ rigid M'

DS LB \Rightarrow rigidity

DS LB \Rightarrow Ckt LB

Later Ckt LB \Rightarrow DS LB

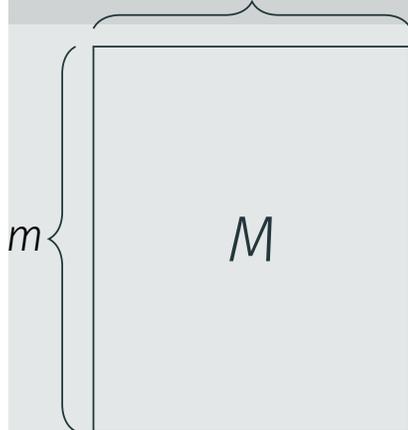
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Proof. n



IF M is rigid, then we're done
So assume M is not rigid

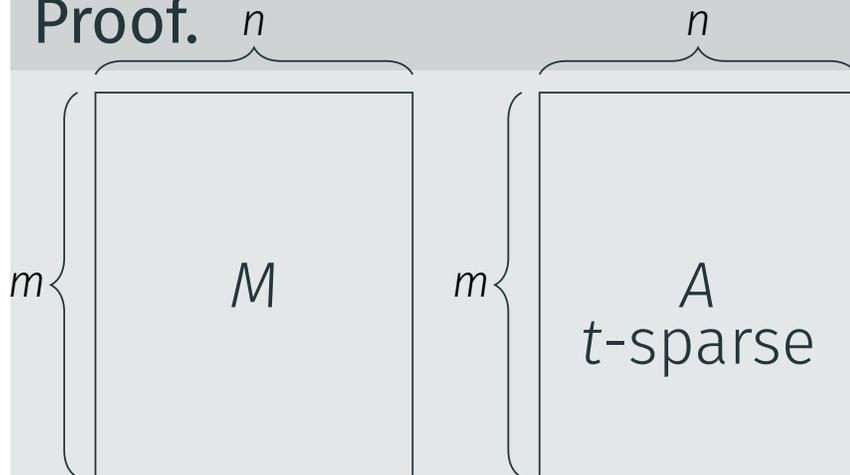
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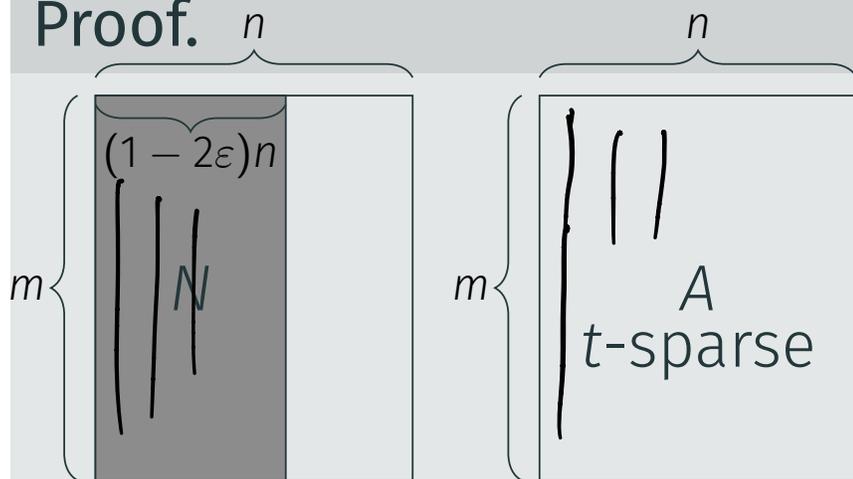
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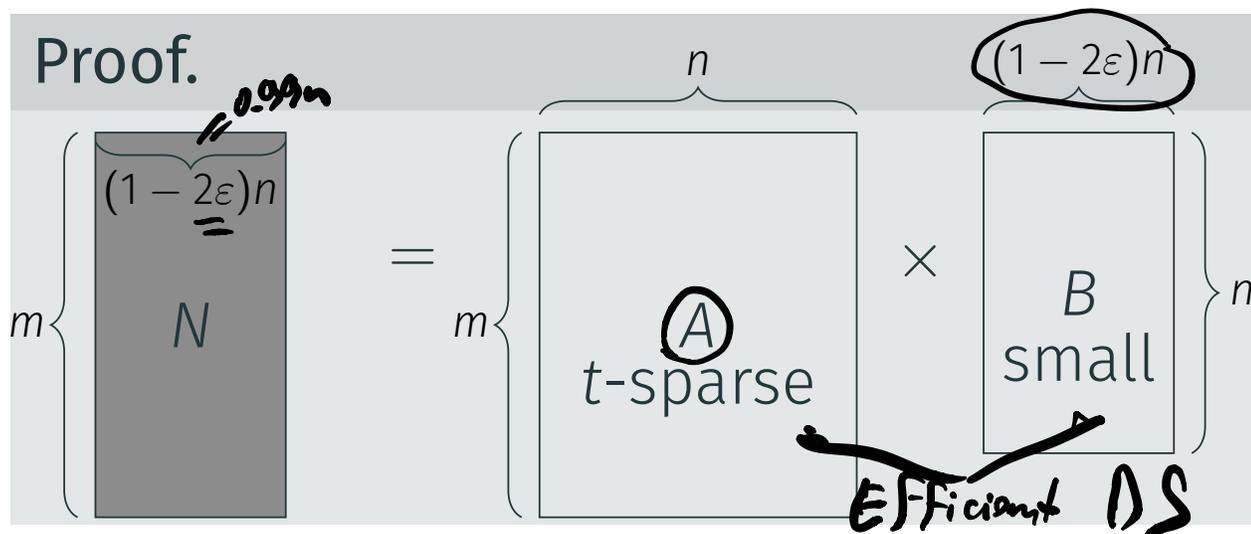
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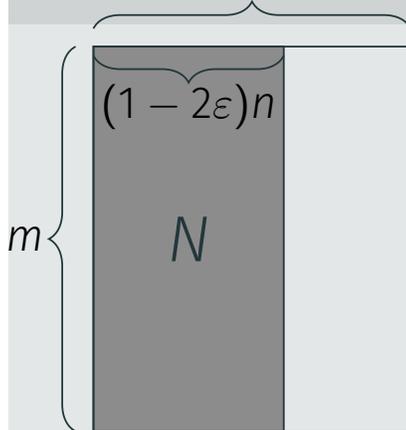
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Proof. n



DS AND RIGIDITY

Theorem

\Rightarrow

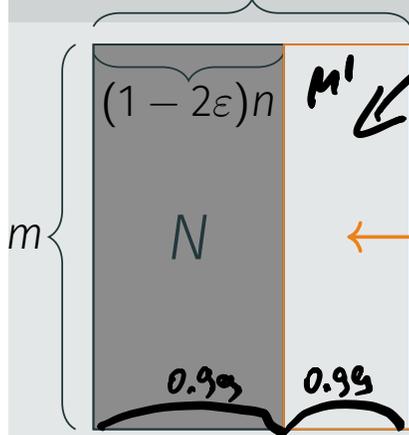
DSLB \Rightarrow Cat LB

Every $M \in \mathbb{F}^{m \times n}$

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Proof. n



IF M' is rigid — we're done
 Otherwise, we'll find an efficient DS for 99% of M'

Recurse

After $\log n$ steps I'll have eff DS for all parts of M \square

Equivalence

PATURI-PUDLÁK DIMENSIONS

- $M \in \mathbb{F}^{m \times n}, \underline{t \in \mathbb{N}}$.

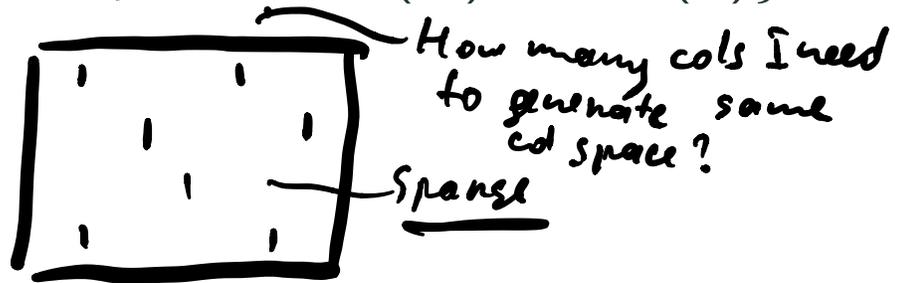
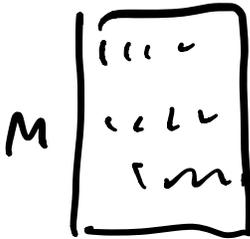
PATURI-PUDLÁK DIMENSIONS

• $\underline{M} \in \mathbb{F}^{m \times n}, t \in \mathbb{N}$.

• Outer dimension \min # of cols in a sparse matrix generating M .

$$D_M(t) = \min\{s : \exists A \in \mathbb{F}^{m \times s},$$

$A \text{ is } t\text{-sparse, } \text{Col}(M) \subseteq \text{Col}(A)\}.$



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- Inner dimension

$$M = \begin{bmatrix} \dots & & \\ \dots & & \\ \dots & & \end{bmatrix} \quad A = \begin{bmatrix} \cdot & \cdot & \\ & \cdot & \cdot \\ & & \cdot & \cdot \\ & & & \cdot & \cdot \\ & & & & \cdot & \cdot \end{bmatrix} \text{ - Sparseness}$$

$$d_M(t) = \max\{ \underline{\dim(\text{Col}(M) \cap \text{Col}(A))}:$$

$A \in \mathbb{F}^{m \times n}, A \text{ is } t\text{-sparse}\}.$

same size as M

PATURI-PUDLÁK DIMENSIONS

Linear problems, DSs, ckt's

$M \in \mathbb{F}^{m \times n}$ is computable by (s, t) DS

\iff

small DS \iff
small out. dim.

$D_M(t) \leq s.$

PATURI-PUDLÁK DIMENSIONS

$M \in \mathbb{F}^{m \times n}$ is computable by (s, t) DS

✓

$$\Leftrightarrow D_M(t) \leq s.$$

✓ $M \in \mathbb{F}^{m \times n}$ is $(\varepsilon n, t)$ -strongly rigid

$$\Leftrightarrow d_M(t) \geq n - \varepsilon n.$$

rigid matrix \equiv
 \equiv small rank dim

PP DIM INEQUALITIES

Theorem

$$\underbrace{D_M(t) \geq (1 + \varepsilon)n}_{\text{DS LB}} \implies \underbrace{d_{M'}\left(\frac{t}{\log n}\right) \leq n(1 - \varepsilon)}_{\text{rigidity LB}}.$$

DS LB \implies rigidity LB

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DS LB \Rightarrow Rigidity LB

Lemma

$$\underline{D_M(t)} + \underline{d_M(t)} \geq 2n.$$

$$\text{IF } D \leq n - k \implies \\ d \geq n + k$$

Rigidity LB \Rightarrow DS LB

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RIGIDITY IMPLIES DS

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$$D_M(t) + d_M(t) \geq 2n .$$

Corollary

An $(\varepsilon n, t)$ -strongly rigid matrix would imply a DS lower bound of $s = (1 + \varepsilon)n$ and t .

STRONG DS LB IMPLY CIRCUIT LB

Lemma

$$M \in \mathbb{F}^{O(n) \times n}, D_M(n^\delta) \geq (1 + \varepsilon)n \implies$$

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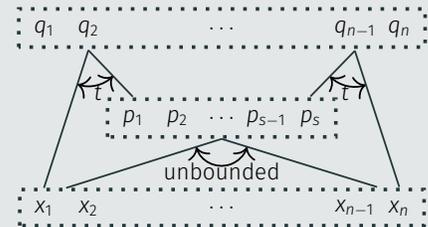
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 \begin{array}{ccc}
 & m \times n & \varepsilon n \times n \\
 m \times n & + & m \times \varepsilon n \\
 A & + & C \cdot D \\
 \swarrow & & \searrow \\
 & \text{sparse} &
 \end{array}
 \end{array}$$



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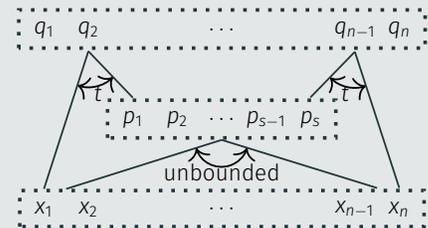
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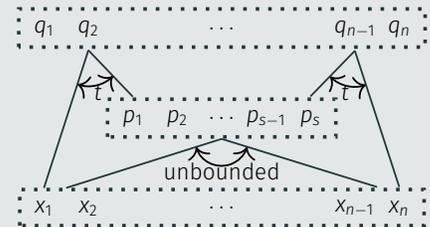
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Corollary

*A lower bound of $s \approx m$ for $t = n^\varepsilon$ would imply
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DS LB \Leftrightarrow Rigidity LB APPLICATIONS

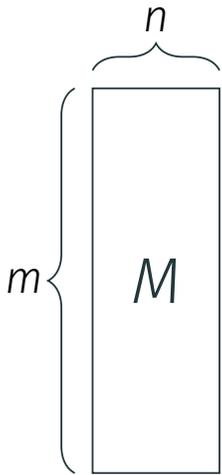
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- A DS lower bound of $s \approx m$ for $t = n^\varepsilon$ would imply a super-linear circuit lower bound.
- Any improvement on rigidity for the regime $r = o(n)$ would lead to a new succinct DS lower bound where $s = n + o(n)$. And vice versa!

in all regimes of n the best known LB match

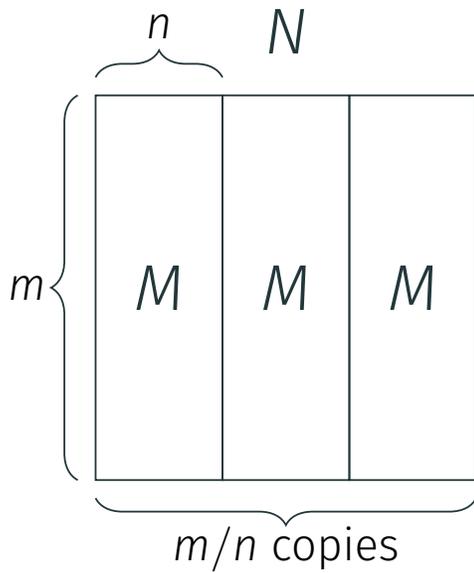
Any improvement for one of these problems

\Rightarrow lead to improvement for the other.

RECTANGULAR TO SQUARE RIGIDITY



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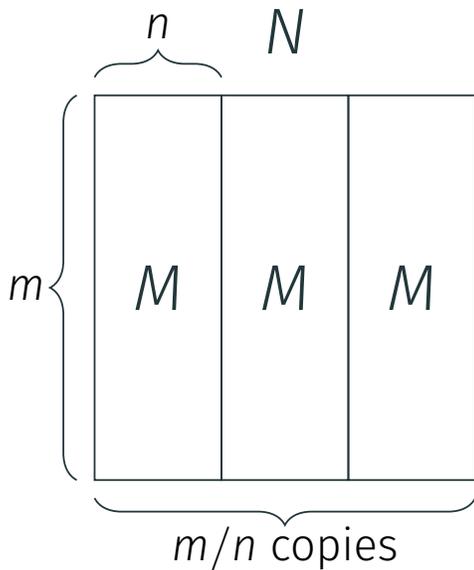


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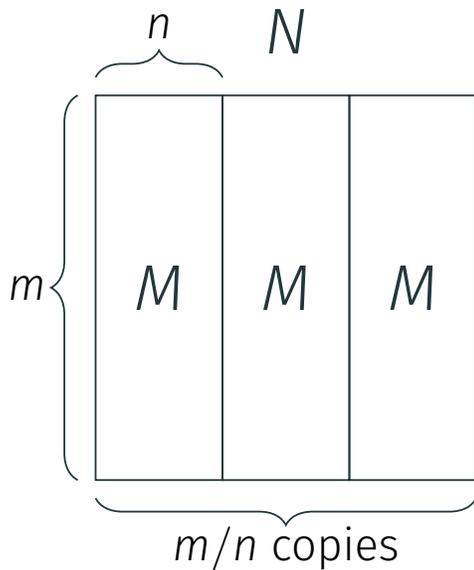
• M is $(\varepsilon n, t)$ -rigid

\implies

N is $(\varepsilon n, t \cdot \frac{m}{n})$ -rigid ?



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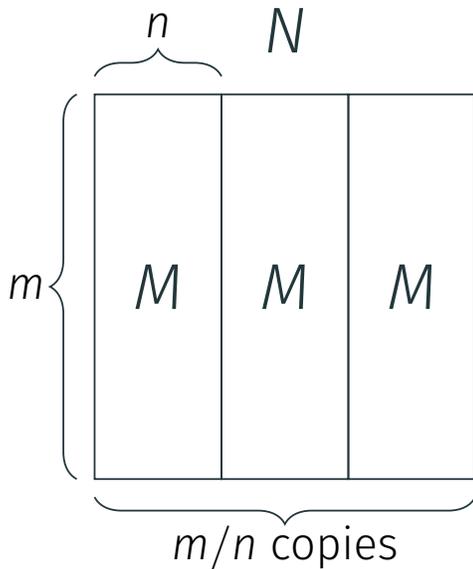
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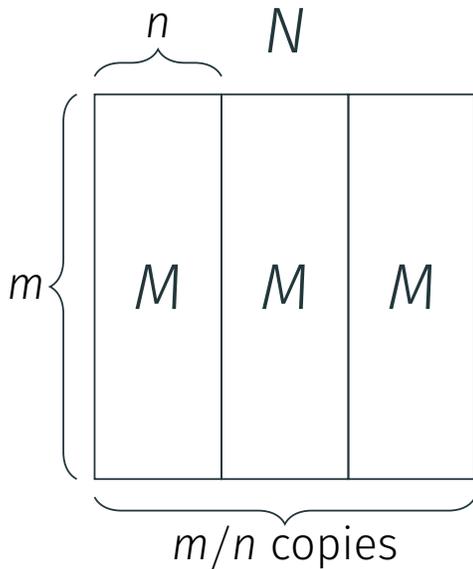
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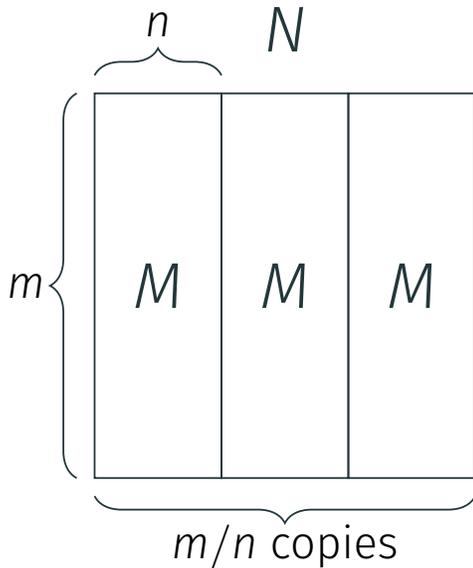
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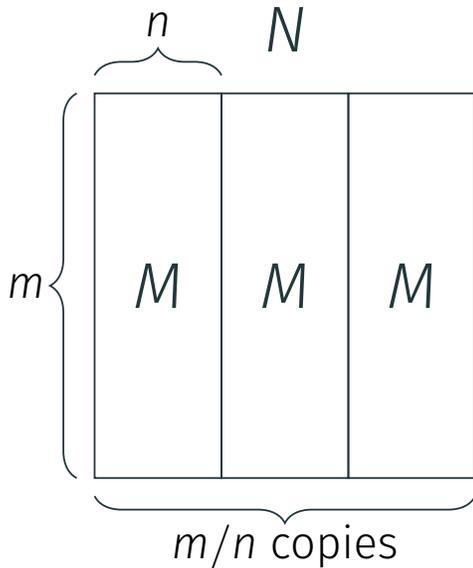
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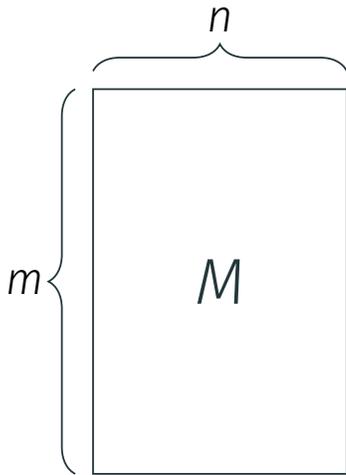
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$M \neq A + B$
 has s non-zeros $\text{rk} \leq \varepsilon n$

ROW TO GLOBAL RIGIDITY

Theorem

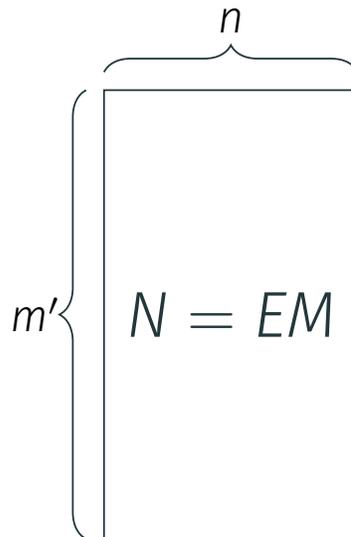
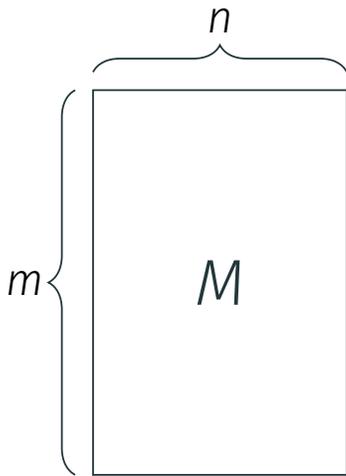
Let $E \in \mathbb{F}^{m' \times m}$ be a q -query **LDC**. If $M \in \mathbb{F}^{m \times n}$ is (r, t) -rigid, then $N = EM \in \mathbb{F}^{m' \times n}$ is $(r, tm' \cdot \frac{1}{10q})$ -**globally** rigid.



ROW TO GLOBAL RIGIDITY

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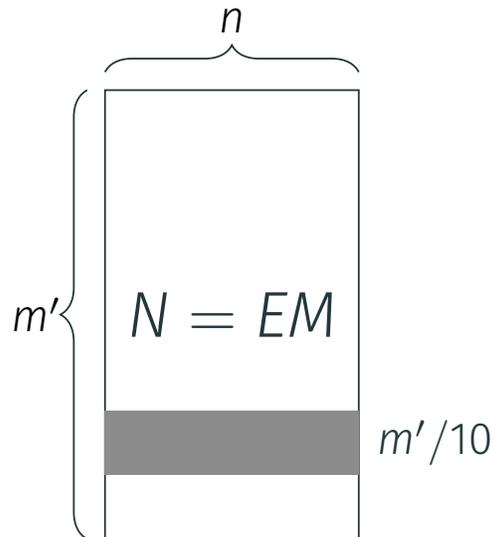
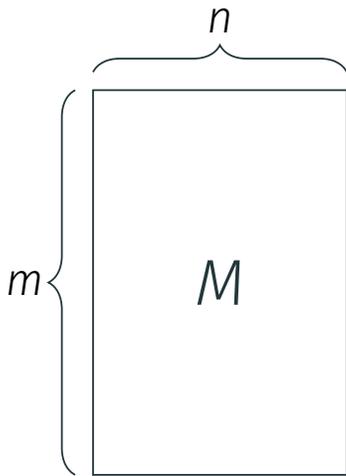
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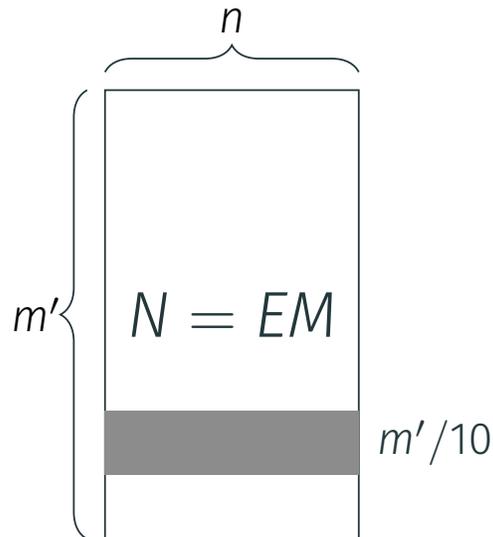
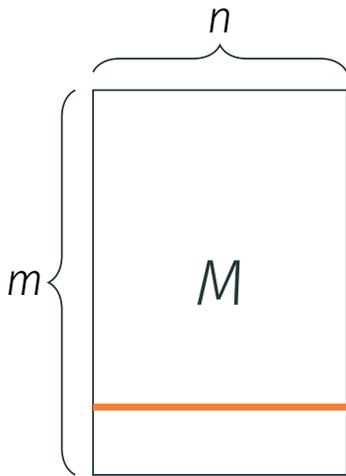
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